

Computational Learning Theory

Learning EFS



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EFS(cont.)

Definite Clause (Rules) and EFS

- An definite clause is a formula of the form

$$p(\pi_1, \dots, \pi_n) \leftarrow q_1(\tau_{11}, \dots), q_2(\tau_{21}, \dots), \dots, q_k(\tau_{k1}, \dots)$$

where $\pi_1, \pi_2, \dots, \tau_{11}, \dots, \tau_{k1}, \dots$ are patterns. The definite clause is interpreted as

“for any substitution θ , if $(\tau_{11}\theta, \dots) \in Q_1, (\tau_{21}\theta, \dots) \in Q_2, \dots, q_k(\tau_{k1}\theta, \dots) \in Q_k$ then $(\pi_1\theta, \pi_2\theta, \dots, \pi_n\theta) \in P$ ”

- A clause $p(\pi_1, \dots, \pi_n) \leftarrow$ which has no conditions is sometimes called a unit clause.
- A finite set of definite clause is called an elementary formal system (EFS). [Smullyan 61]



Examples

Some examples of definite clauses are

$$p(ax) \leftarrow r(x)$$

$$r(b)$$

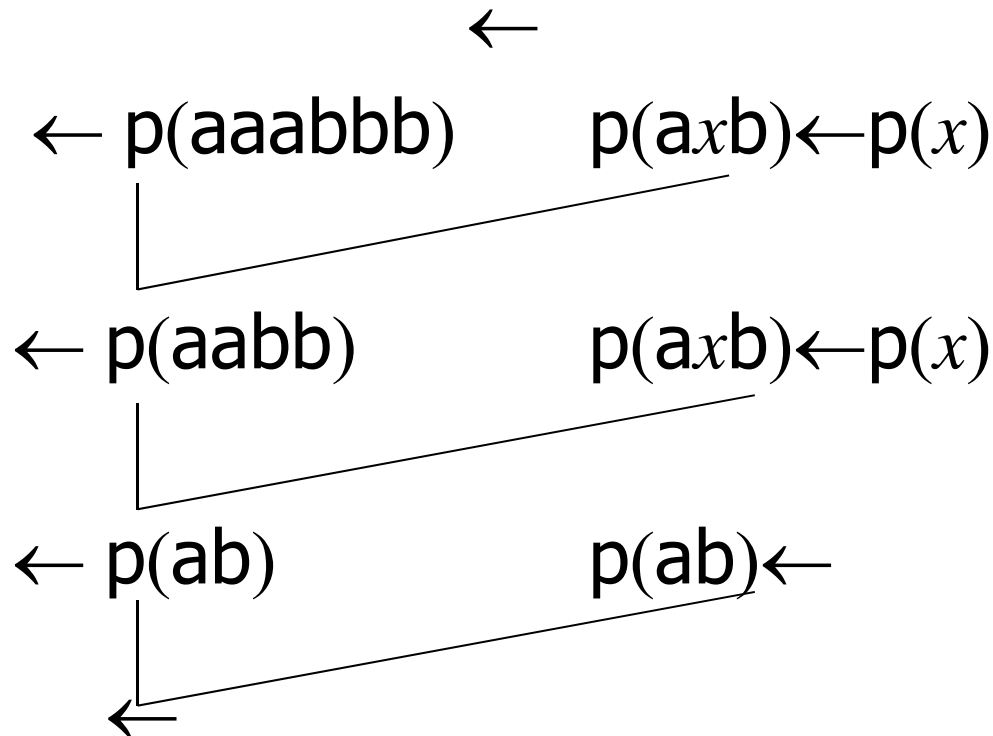
$$p(axby) \leftarrow r(x), r(y)$$

$$q(ax, by) \leftarrow q(x, y)$$

...

Example of Proof (1)

$$\begin{array}{c}
 \frac{\leftarrow p(\text{aaabbb}) \quad \frac{p(\text{axb}) \leftarrow p(x)}{p(\text{aaabbb}) \leftarrow p(\text{aabb})}}{\leftarrow p(\text{aabb})} \quad \frac{p(\text{axb}) \leftarrow p(x)}{p(\text{aabb}) \leftarrow p(\text{ab})} \\
 \hline
 \leftarrow p(\text{ab}) \quad \leftarrow p(\text{ab})
 \end{array}$$



Defining a language by proofs

- A ground atomic formula $p(s_1, \dots, s_n)$ is provable from an EFS S if
 - there is a proof which derives an empty clause from $S \leftarrow p(s_1, \dots, s_n)$ and S .
- We define a language with a proof from an EFS.
$$P = L(p, S) = \{ s \mid p(s) \text{ is provable from } S \}$$

Example

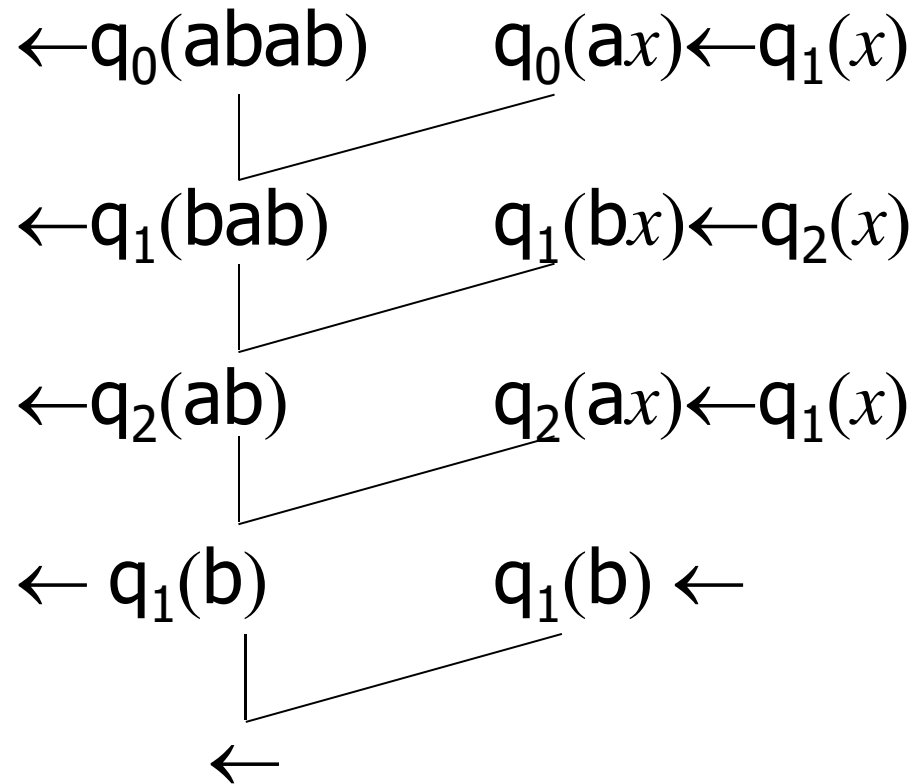
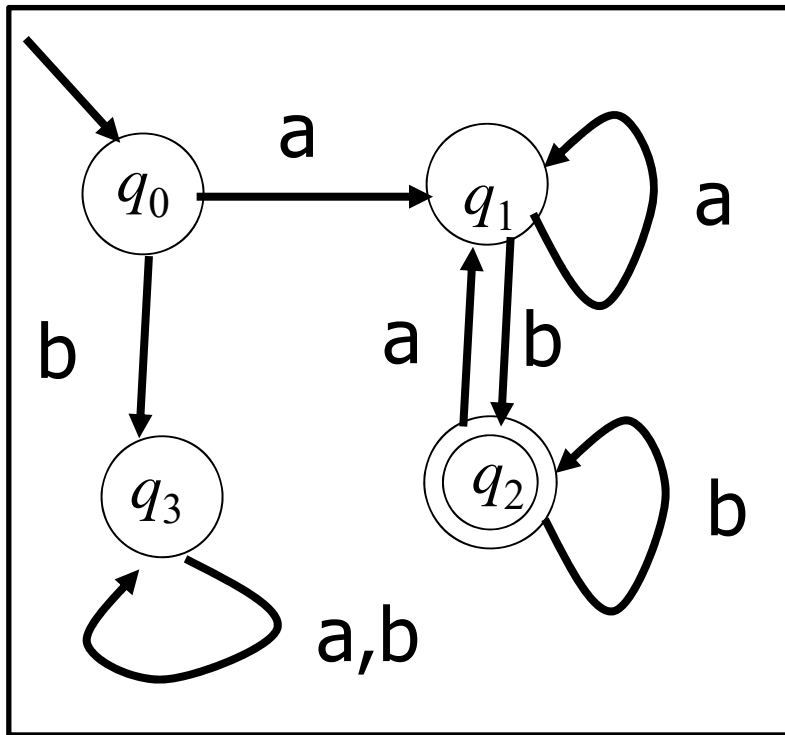
$S : p(axb) \leftarrow p(x)$

$p(ab) \leftarrow$

$P = L(p, S) = \{ ab, aabb, aaabbb, aaabbb, \dots \}$

EFS v.s. FA (1)

a b a b



EFS v.s. FA (2)

- The EFS which simulates an EFS consists of clauses of the form

$$p(cx) \leftarrow q(x) \quad c \in \Sigma$$

or

$$p(c) \leftarrow$$

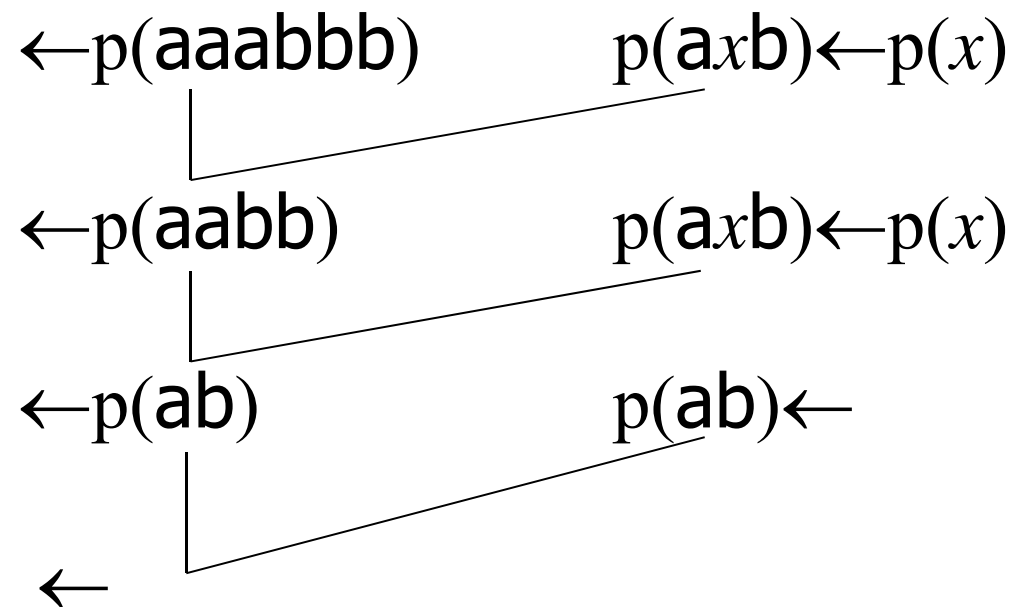
CFG vs. EFS (1)

- Productions and derivation

$$P \rightarrow ab, P \rightarrow aPb$$

$$P \Rightarrow aPb \Rightarrow aaPbb \Rightarrow aaabbbb$$

- EFS and proof



CFG vs. EFS (2)

- For every production rule

$$P \rightarrow w_1 Q_1 w_2 Q_2 \dots Q_n w_{n+1} \quad P, Q \in N, w_i \in \Sigma^*$$

we define a definite clause

$$p(w_1 x_1 w_2 x_2 \dots x_n w_{n+1}) \leftarrow q_1(x_1), q_2(x_2), \dots, q_n(x_n)$$

Example

$$P \rightarrow aPb \quad \longrightarrow \quad p(axb) \leftarrow p(x)$$

$$P \rightarrow ab \quad \longrightarrow \quad p(ab) \leftarrow$$



Learning EFS

Learning EFS languages

Example 1

$C = \{aaaab, aaaaaab, aab, b, aaaaaaab\}$

$D = \{a, bbbb, abba, baaaaba, babbb\}$

Example 2

$C = \{aabb, aaabbb, ab, aaaabbbb\}$

$D = \{a, b, bbbb, abb, baaaaba, babbb\}$

Example 3

$C = \{abaabb, aabb, ababaabb, aabbaabb\}$

$D = \{a, b, bbbb, abb, baaaaba, babbb\}$



Learning EFS

- Fix an effective enumeration of EFS on $\Sigma \cup X$:

$S_1, S_2, \dots,$

$k = 1, S = S_1$

for $n = 1$ forever

receive $e_n = \langle s_n, b_n \rangle$

while ($0 \leq \exists j \leq n$

$(e_j = \langle s_j, + \rangle$ and $s_j \notin L(S)$) and

$(e_j = \langle s_j, - \rangle$ and $s_j \in L(S)$)

$S = S'$ for an appropriate S' ; $k ++$

output S

Enumerating EFS

- A simple method to enumerate EFS is just like the enumeration of FA.
- We define the size of an EFS S as the total number of symbols in S but except “←”, “(”, “)” and “,”.

Example $\text{size}(\{p(axb) \leftarrow p(x), p(ab) \leftarrow\}) = 9$

Enumeration of EFS

$\Sigma = \{a, b\}$

size(S)

2 $\{ p(a) \leftarrow \}, \{ p(b) \leftarrow \}, \{ p(x) \leftarrow \}$

3 $\{ p(aa) \leftarrow \}, \{ p(ab) \leftarrow \}, \{ p(ba) \leftarrow \}, \{ p(bb) \leftarrow \},$
 $\{ p(xy) \leftarrow \}, \{ p(xx) \leftarrow \}, \{ p(ax) \leftarrow \}, \{ p(bx) \leftarrow \},$
 $\{ p(xa) \leftarrow \}, \{ p(xb) \leftarrow \},$

4 $\{ p(a) \leftarrow p(a) \}, \dots, \{ p(x) \leftarrow p(x) \},$
 $\{ p(aaa) \leftarrow \}, \dots,$
 $\{ p(a) \leftarrow, p(b) \leftarrow \}, \dots, \{ p(b) \leftarrow, p(x) \leftarrow \}$

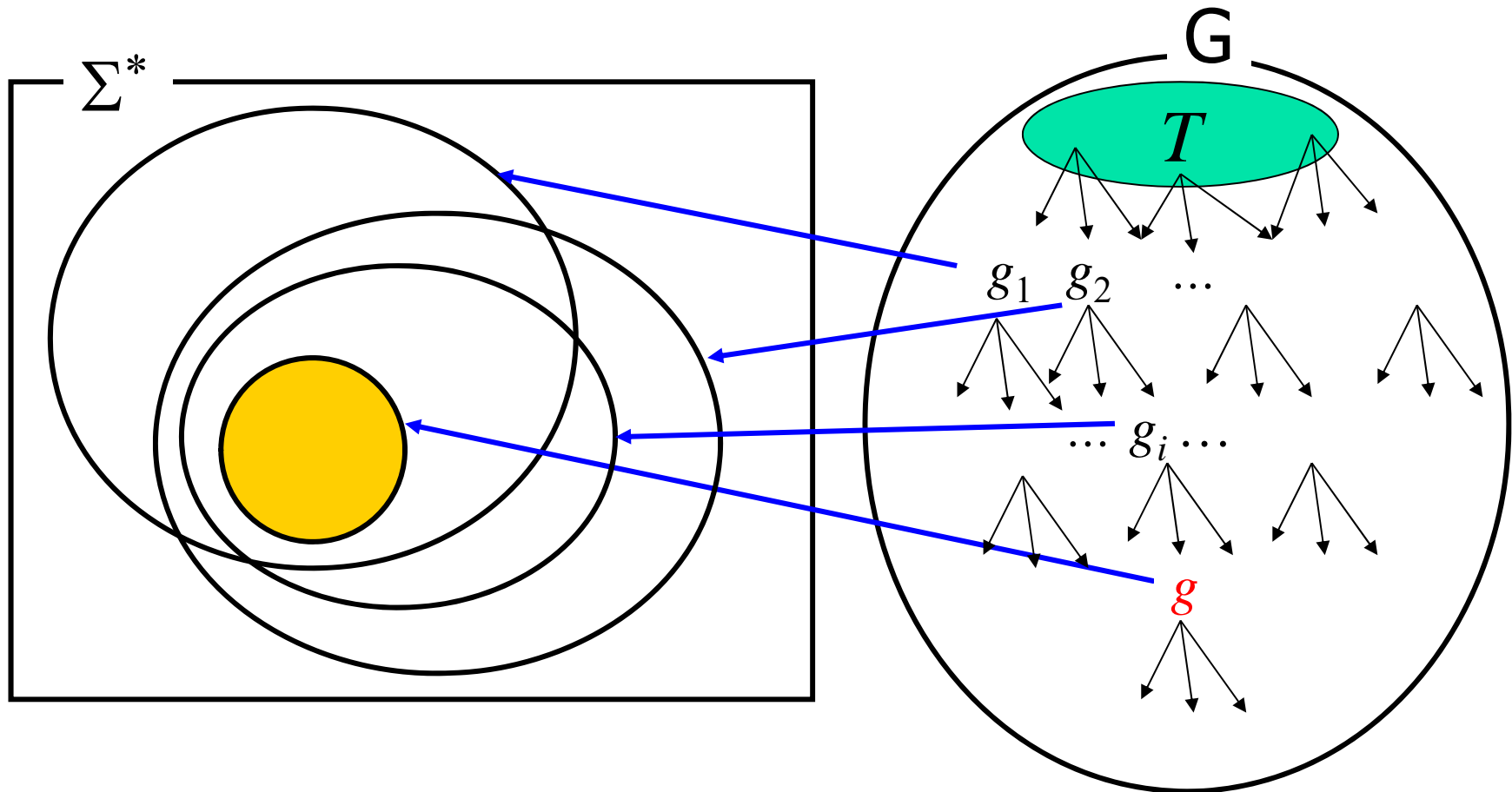
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Refinement Operators

Key idea: Refinement Operator(1)

- Let G be a set of grammar and $L(G)$ be the class of languages represented by grammar in G .



Refinement Operator(2)

- A **refinement operator** ρ defines, from a given grammar g , set of grammar satisfying:
 1. $\rho(g)$ is recursively enumerable,
 2. for all $h \in \rho(g)$ $L(h) \subseteq L(g)$, and
 3. there is no sequence g_1, g_2, \dots, g_n of grammars such that $g_{i+1} = \rho(g_i)$ and $g_1 = g_n$.

Refinement of Patterns

- For patterns on Σ .

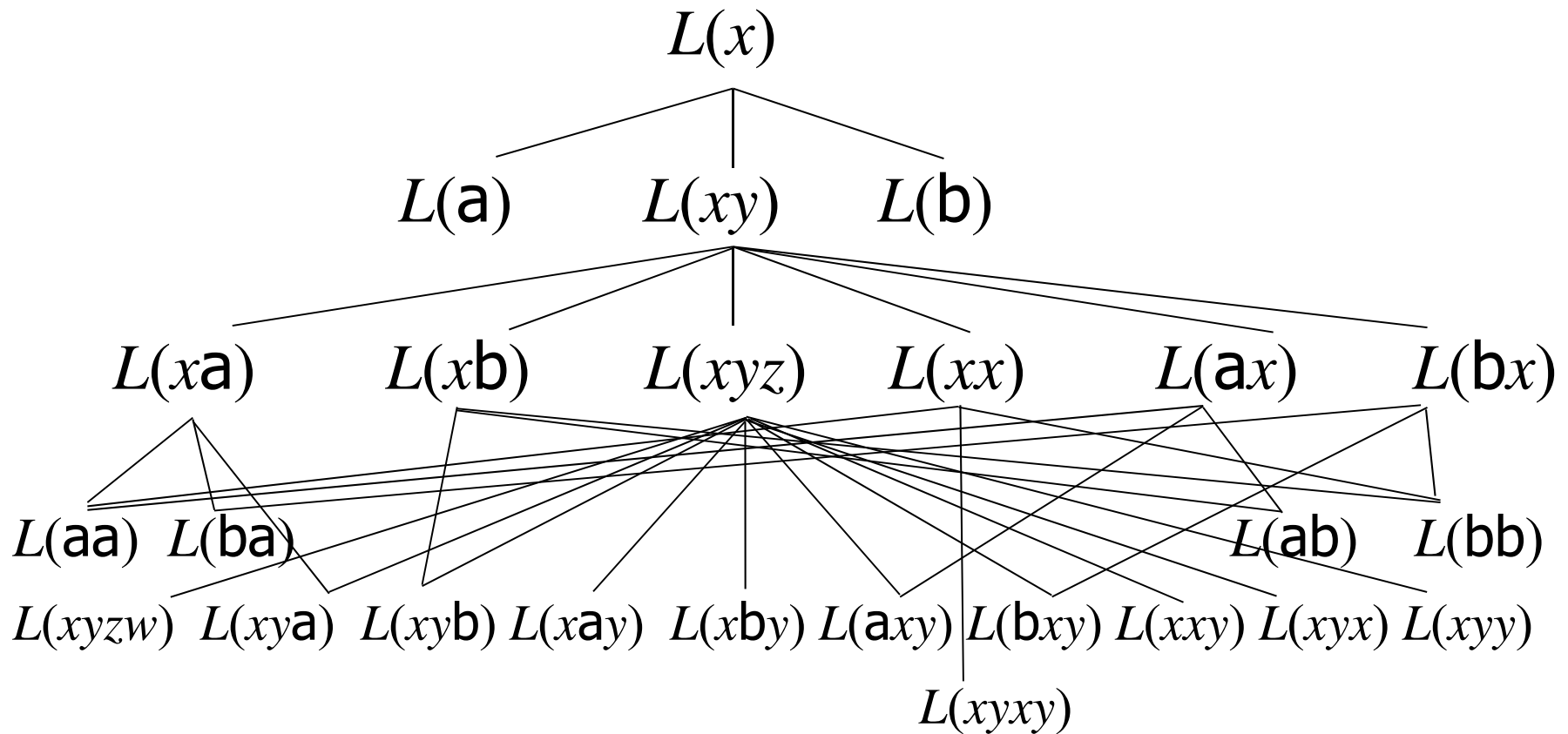
$\sigma_x = \{ x := x y \}$ where y is a fresh variable

$\theta_{xc} = \{ x := c \}$ where c is in Σ

$\delta_{xy} = \{ x := z, y := x \}$ where z is a fresh variable

$$\begin{aligned} \rho(\pi) = & \{ \pi \sigma_x \mid x \text{ is a variable occurring in } \pi \} \\ & \cup \{ \pi \theta_{xc} \mid x \text{ is a variable occurring in } \pi \text{ and } c \\ & \quad \text{is in } \Sigma \} \\ & \cup \{ \pi \delta_{xy} \mid x \text{ and } y \text{ are variables occurring in } \pi \} \end{aligned}$$

Hasse Diagram (General Version)





Generating Patterns with Refinement

- Let C be a set of positive examples and D be a set of negative examples.
- Assume the set of variables $X = \{x_1, x_2, \dots, x_n, \dots\}$

Let $P := \{x_1\}$, $Q := \emptyset$

/* P is for keeping candidates, and Q is for minimal candidates.*/

while $P \neq \emptyset$ **do**

 choose π from P

$P' := \emptyset$

for each $\pi' \in \rho(\pi)$

if $C \subseteq L(\pi')$ and $L(\pi') \cap D = \emptyset$

$P' := P' \cup \{\pi'\}$

if $P' = \emptyset$

$Q := Q \cup \{\pi\}$

else

$P := P - \{\pi\} \cup P'$

Some Required Properties

- ρ should be **locally finite** :

$\rho(g)$ is a finite and the enumeration of its elements terminates in finite time.

- ρ should be **semantically complete** :

For every language $L(h)$ such that $L(h) \subset L(g)$, there is a sequence g_1, g_2, \dots, g_n such that

$g_1 = g$, $g_{i+1} = \rho(g_i)$ ($i = 1, \dots, n-1$), and $L(g_n) = L(h)$.

- **There exist finitely many maximal grammars:**

For every grammar g , there exists a sequence g_1, g_2, \dots, g_n such that

g_1 is maximal, $g_{i+1} = \rho(g_i)$ ($i = 1, \dots, n-1$), and $g_n = g$.

Refinement of EFS

- Because an EFS is a set of definite clauses, we define the refinement operator for EFSs by
 - defining the refinement of operator of definite clause
 - and then defining the refinement operator of the set of definite clauses.

Refinement of definite clauses

- For a definite clause $C = A \leftarrow B_1, \dots, B_n$

$\sigma_x = \{ x := x y \}$ where y is a fresh variable

$\theta_{xc} = \{ x := c \}$ where c is in Σ

$\delta_{xy} = \{ x := z, y := x \}$ where z is a fresh variable

$$\begin{aligned} \rho(C) = & \{ C \sigma_x \mid x \text{ is a variable occurring in } C \} \\ & \cup \{ C \theta_{xc} \mid x \text{ is a variable occurring in } C \text{ and} \\ & \quad c \text{ is in } \Sigma \} \\ & \cup \{ C \delta_{xy} \mid x \text{ and } y \text{ are variables occurring in } C \} \\ & \cup \{ A \leftarrow B_1, \dots, B_n, p(x_1, \dots, x_k) \mid \\ & \quad \text{where } x_1, \dots, x_k \text{ are mutually distinct} \\ & \quad \text{variables occurring in } A \} \end{aligned}$$

Refinement of EFS

- For a set S of definite clauses

$$\rho(S) = \{S \cup \{D\} \mid D \in \rho(C) \text{ for some } C \in S\} \\ \cup \{S - \{C\} \mid C \in S\}$$

- The top element is a set of clauses of the form

$$\mathsf{T} : \begin{cases} p_1(x_1, \dots, x_{n_1}) \leftarrow \\ p_2(x_1, \dots, x_{n_2}) \leftarrow \\ p_3(x_1, \dots, x_{n_3}) \leftarrow \\ \dots \end{cases}$$

- $\rho^n(P)$: The set of EFS which can be obtained by applying ρ repeatedly at most n times.

A successful case

- If we give some restrictions to EFS S , we can simply extend the learning algorithm for patterns.
- An example of such a restriction is:

The number of definite clauses in S is bounded up to a given N **and** every clause is of the form

$$p(\pi_1, \dots, \pi_n) \leftarrow q_1(x_1), q_2(x_2), \dots, q_k(x_k)$$

where x_1, x_2, \dots, x_k appears in π_1, \dots, π_n .

- The latter condition is just saying that S corresponds to a CFG.

A key property of refinement

- For EFS S and T ,

$$T \in \rho(S) \Rightarrow L(S) \supseteq L(T)$$

- The definition of $\rho(S)$ is rather mathematical, and a more practical method for finding hypotheses can be formalized with not using $\rho(S)$ but $\rho(C)$.
 - Starting with \top , if a definite clause C generates any negative example, replace C with all of the clauses in $\rho(C)$.



Learning EFS

$S = \top$

for $n = 1$ forever

 receive $e_n = \langle s_n, b_n \rangle$

 while ($0 \leq \exists j \leq n e_j = \langle s_j, - \rangle$ and $s_j \in L(S)$)

 delete a clause C in S and add all clauses
 in $\rho(C)$

 output S



Example

$S : p(axb) \leftarrow p(\gamma)$

$p(ab) \leftarrow$

$E_1 = \langle p(aabb), + \rangle$, $E_2 = \langle p(ab), + \rangle$

$E_3 = \langle p(abb), - \rangle$

Example

$$E_1 = \langle p(\text{aabb}), + \rangle, E_2 = \langle p(\text{ab}), + \rangle$$

$$E_3 = \langle p(\text{bba}), - \rangle$$

$$S = \text{p}(x) \leftarrow$$

$$\text{p}(xy) \leftarrow, \text{p}(a) \leftarrow, \text{p}(b) \leftarrow, \text{p}(x) \leftarrow \text{p}(x)$$

Example

$$E_1 = \langle p(\text{aabb}), + \rangle, E_2 = \langle p(\text{ab}), + \rangle$$

$$E_3 = \langle p(\text{bba}), - \rangle$$

$$S = \quad \cancel{p(x)} \leftarrow$$

$$\cancel{p(xy)} \leftarrow, p(a) \leftarrow, p(b) \leftarrow, p(x) \leftarrow p(x)$$

$$\cancel{p(xyz)} \leftarrow, p(a) \leftarrow, p(b) \leftarrow, p(xa) \leftarrow, p(xb) \leftarrow$$

$$p(xy) \leftarrow p(x), p(xy) \leftarrow p(y)$$

Example

$$E_1 = \langle p(\text{aabb}), + \rangle, E_2 = \langle p(\text{ab}), + \rangle$$

$$E_3 = \langle p(\text{bba}), - \rangle$$

$$S = \quad \cancel{p(x)} \leftarrow$$

$$\cancel{p(xy)} \leftarrow, p(a) \leftarrow, p(b) \leftarrow, p(x) \leftarrow p(x)$$

$$\cancel{p(xyz)} \leftarrow, p(a) \leftarrow, p(b) \leftarrow, p(xa) \leftarrow, p(xb) \leftarrow$$

$$p(xy) \leftarrow p(x), p(xy) \leftarrow p(y)$$

$$p(xxz) \leftarrow, p(xyx) \leftarrow, p(xyy) \leftarrow, p(xyz) \leftarrow p(x),$$

$$p(xyz) \leftarrow p(y), p(xyz) \leftarrow p(z),$$

$$p(ayz) \leftarrow, p(byz) \leftarrow, \dots, p(xya) \leftarrow, p(xyb) \leftarrow,$$

Example

$$E_1 = \langle p(\text{aabb}), + \rangle, E_2 = \langle p(\text{ab}), + \rangle$$

$$E_3 = \langle p(\text{bba}), - \rangle$$

$$S = \quad \cancel{p(x)} \leftarrow$$

$$\cancel{p(xy)} \leftarrow, p(a) \leftarrow, p(b) \leftarrow, p(x) \leftarrow p(x)$$

$$\cancel{p(xyz)} \leftarrow, p(a) \leftarrow, \cancel{p(by)} \leftarrow, p(xa) \leftarrow, p(xb) \leftarrow$$

$$p(xy) \leftarrow p(x), p(xy) \leftarrow p(y)$$

$$p(xxz) \leftarrow, p(xyx) \leftarrow, p(xyy) \leftarrow, p(xyz) \leftarrow p(x),$$

$$p(xyz) \leftarrow p(y), p(xyz) \leftarrow p(z),$$

$$p(ayz) \leftarrow, p(byz) \leftarrow, \dots, p(xya) \leftarrow, p(xyb) \leftarrow,$$

$$p(ba) \leftarrow, p(bb) \leftarrow, \cancel{p(bxy)} \leftarrow, p(by) \leftarrow p(y),$$

Example

$$E_1 = \langle p(\text{aabb}), + \rangle, E_2 = \langle p(\text{ab}), + \rangle$$

$$E_3 = \langle p(\text{bba}), - \rangle$$

$$S = \quad \cancel{p(x)} \leftarrow$$

$$\cancel{p(xy)} \leftarrow, p(a) \leftarrow, p(b) \leftarrow, p(x) \leftarrow p(x)$$

$$\cancel{p(xyz)} \leftarrow, \cancel{p(ay)} \leftarrow, p(by) \leftarrow, \cancel{p(xa)} \leftarrow, p(xb) \leftarrow$$

$$p(xy) \leftarrow p(x), p(xy) \leftarrow p(y)$$

$$\cancel{p(xxz)} \leftarrow, p(xyx) \leftarrow, p(xyy) \leftarrow, p(xyz) \leftarrow p(x),$$

$$p(xyz) \leftarrow p(y), p(xyz) \leftarrow p(z),$$

$$p(ayz) \leftarrow, p(byz) \leftarrow, \dots, p(xya) \leftarrow, p(xyb) \leftarrow,$$

$$p(aa) \leftarrow, p(ab) \leftarrow, \cancel{p(axy)} \leftarrow, p(ay) \leftarrow p(y),$$

$$p(ba) \leftarrow, \cancel{p(ab)} \leftarrow, \cancel{p(xya)} \leftarrow, p(xa) \leftarrow p(x)$$

Example

$$E_1 = \langle p(\text{aabb}), + \rangle, E_2 = \langle p(\text{ab}), + \rangle$$

$$E_3 = \langle p(\text{bba}), - \rangle$$

$$S = p(\text{a}) \leftarrow, p(\text{b}) \leftarrow, p(\text{x}) \leftarrow p(\text{x})$$

$$p(\text{by}) \leftarrow, p(\text{xa}) \leftarrow, p(\text{xy}) \leftarrow p(\text{x}), p(\text{xy}) \leftarrow p(\text{y})$$

$$p(\text{xxz}) \leftarrow, p(\text{xyx}) \leftarrow, p(\text{xyy}) \leftarrow, p(\text{xyz}) \leftarrow p(\text{x}),$$

$$p(\text{xyz}) \leftarrow p(\text{y}), p(\text{xyz}) \leftarrow p(\text{z}),$$

$$p(\text{ayz}) \leftarrow, p(\text{byz}) \leftarrow, \dots, p(\text{xya}) \leftarrow, p(\text{xyb}) \leftarrow,$$

$$p(\text{aa}) \leftarrow, p(\text{ab}) \leftarrow, p(\text{ay}) \leftarrow p(\text{y}),$$

$$p(\text{bb}) \leftarrow, p(\text{xyb}) \leftarrow, p(\text{xb}) \leftarrow p(\text{x})$$

Example

$$E_1 = \langle p(\text{aabb}), + \rangle, E_2 = \langle p(\text{ab}), + \rangle$$

$$E_3 = \langle p(\text{bba}), - \rangle$$

$$S = p(\text{a}) \leftarrow, p(\text{b}) \leftarrow, p(\text{x}) \leftarrow p(\text{x})$$

$$p(\text{by}) \leftarrow, p(\text{xa}) \leftarrow, p(\text{xy}) \leftarrow p(\text{x}), p(\text{xy}) \leftarrow p(\text{y})$$

$$p(\text{xyx}) \leftarrow, p(\text{xyy}) \leftarrow, p(\text{xyz}) \leftarrow p(\text{x}),$$

$$p(\text{xyz}) \leftarrow p(\text{y}), p(\text{xyz}) \leftarrow p(\text{z}),$$

$$p(\text{ayz}) \leftarrow, p(\text{byz}) \leftarrow, \dots, p(\text{xya}) \leftarrow, p(\text{xyb}) \leftarrow,$$

$$p(\text{aa}) \leftarrow, p(\text{ab}) \leftarrow, p(\text{ay}) \leftarrow p(\text{y}),$$

$$p(\text{bb}) \leftarrow, p(\text{xyb}) \leftarrow, p(\text{xb}) \leftarrow p(\text{x})$$

$$p(\text{ayy}) \leftarrow, p(\text{byy}) \leftarrow, p(\text{aaz}) \leftarrow, p(\text{bbz}) \leftarrow, p(\text{xyxyz}) \leftarrow,$$

$$p(\text{xxz}) \leftarrow p(\text{x}), p(\text{xxz}) \leftarrow p(\text{z}),$$

Example

$$E_1 = \langle p(\text{aabb}), + \rangle, E_2 = \langle p(\text{ab}), + \rangle$$

$$E_3 = \langle p(\text{bba}), - \rangle$$

$$S = p(\text{a}) \leftarrow, p(\text{b}) \leftarrow, p(\text{x}) \leftarrow p(\text{x})$$

$$p(\text{by}) \leftarrow, p(\text{xa}) \leftarrow, p(\text{xy}) \leftarrow p(\text{x}), p(\text{xy}) \leftarrow p(\text{y})$$

$$p(\text{xyx}) \leftarrow, p(\text{xyy}) \leftarrow, p(\text{xyz}) \leftarrow p(\text{x}),$$

$$p(\text{xyz}) \leftarrow p(\text{y}), p(\text{xyz}) \leftarrow p(\text{z}),$$

$$p(\text{ayz}) \leftarrow, p(\text{byz}) \leftarrow, \dots, p(\text{xya}) \leftarrow, p(\text{xyb}) \leftarrow,$$

$$p(\text{aa}) \leftarrow, p(\text{ab}) \leftarrow, p(\text{ay}) \leftarrow p(\text{y}),$$

$$p(\text{bb}) \leftarrow, p(\text{xyb}) \leftarrow, p(\text{xb}) \leftarrow p(\text{x})$$

$$p(\text{ayy}) \leftarrow, p(\text{byy}) \leftarrow, p(\text{aaz}) \leftarrow, p(\text{bbz}) \leftarrow, p(\text{xyxyz}) \leftarrow,$$

$$p(\text{xxz}) \leftarrow p(\text{x}), p(\text{xxz}) \leftarrow p(\text{z}),$$



Example

$$E_1 = \langle p(\text{aabb}), + \rangle, E_2 = \langle p(\text{ab}), + \rangle$$

$$E_3 = \langle p(\text{bba}), - \rangle$$

$$S = \dots$$

$$p(\text{ayb}) \leftarrow p(Y),$$

$$p(\text{ab}) \leftarrow,$$

...



References

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- Shapiro, E.Y.: Algorithmic Program Debugging, MIT Press (1983).
- S. Arikawa, T. Shinohara, A. Yamamoto: Learning Elementary Formal Systems, *Theoretical Computer Science*, 95, 97-113, (1992).