



# Computational Learning Theory

## Extending Patterns with the Correctness of Learning Algorithms

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- What about a pair of patterns?
- Correctness of Learning Algorithms



What about a pair of patterns?

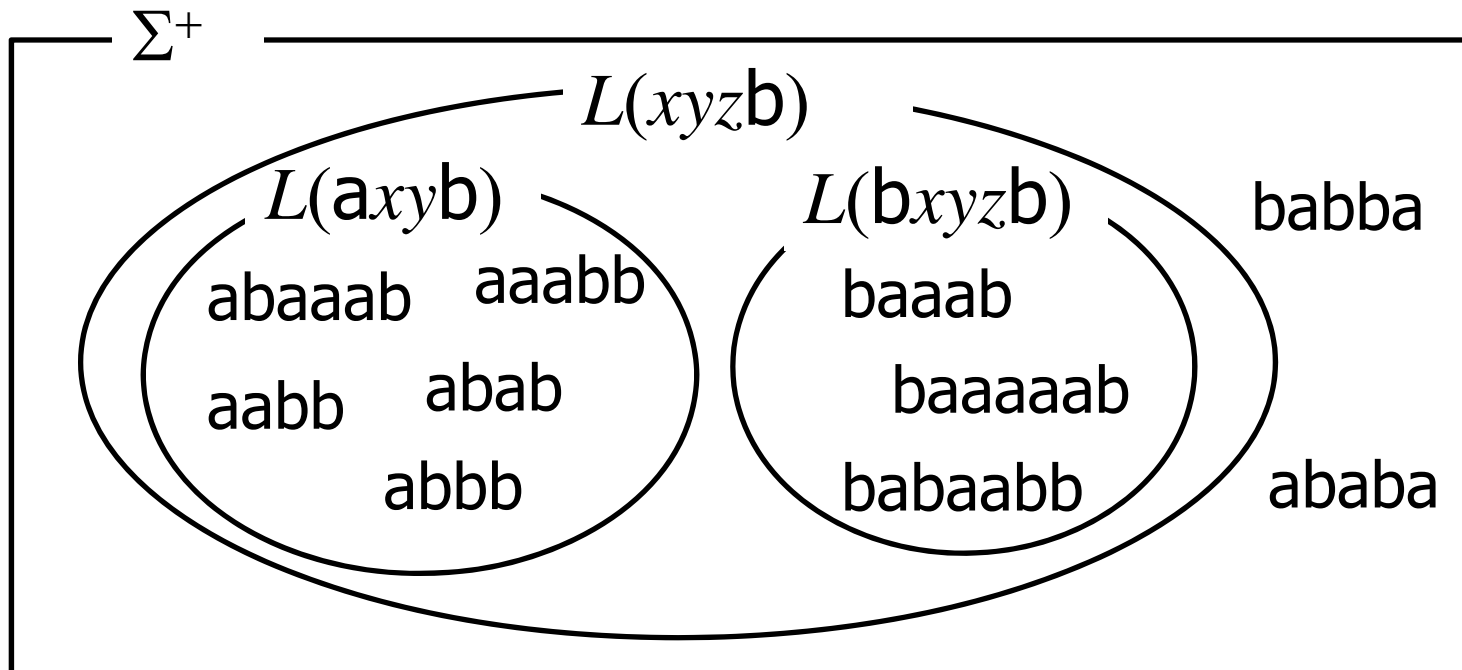
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# Outputting a Pair of Patterns

$C = \{babaabb, aaab, baaab, aabb, abab, baaaaab, abbb, aaabb, baaab\}$

$abaaab, aabb, abab, abbb, aaabb \in L(axb)$

$baaab, baaaaab, babaabb \in L(bxyzb)$

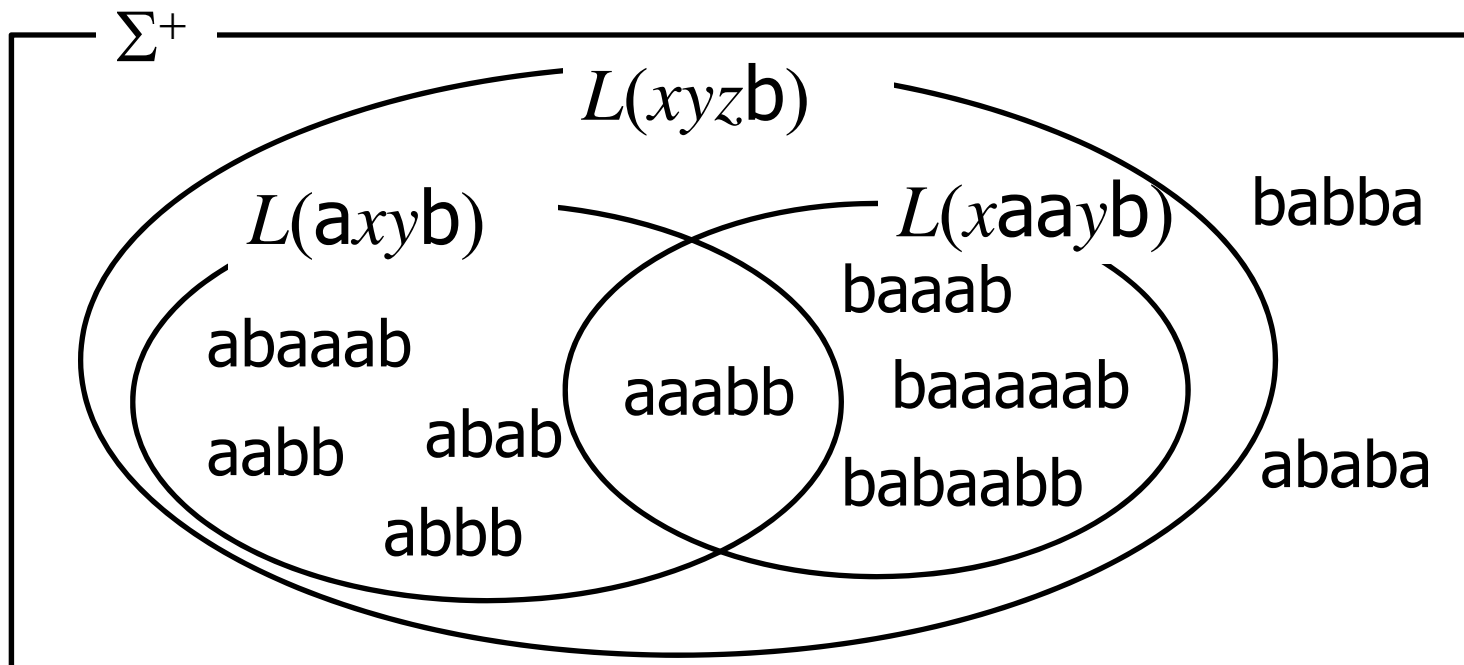


# Outputting a Pair of Patterns

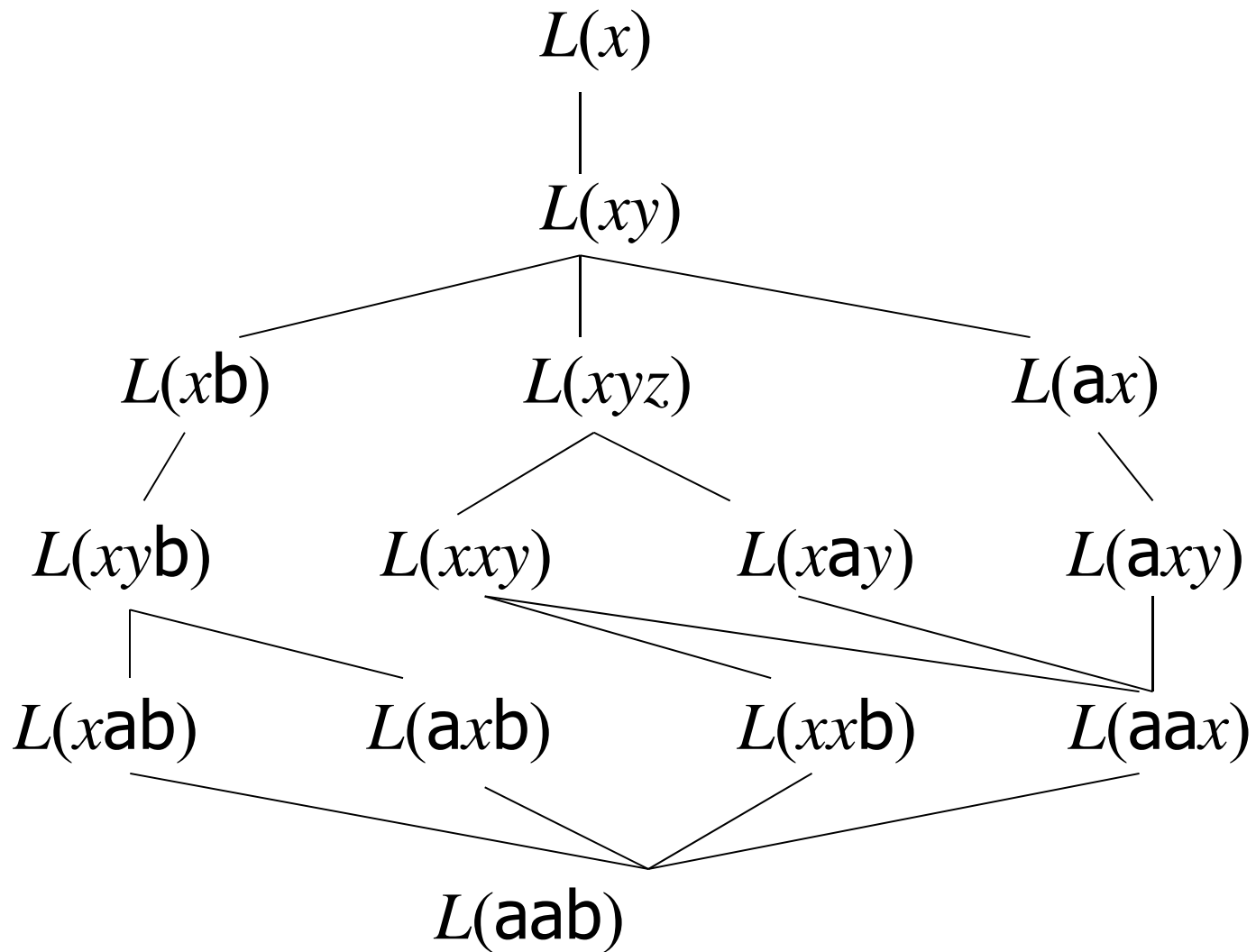
$C = \{babaabb, aaab, baaab, aabb, abab, baaaaab, abbb, aaabb, baaab\}$

$abaaab, aabb, abab, abbb, aaabb \in L(axyb)$

$baaab, baaaaab, aaabb, babaabb \in L(xaayb)$



# Hasse Diagram





# How to Construct H.D.

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- A string  $w$  is an instance of  $\pi$  if  $w = \pi \theta$  for some substitution  $\theta$ .
- A pattern  $\tau$  is one step refinement of  $\pi$  if  $\tau = \pi \theta$  and  $\theta$  is one of the three:
  - $\theta = \{(x, c)\}$  Replacing a variable with a symbol (character)
  - $\theta = \{(x, x_1 x_2)\}$  Splitting a variable with two **new variables**
  - $\theta = \{(x, z), (y, z)\}$  Unifying two variables into one

## Example

$$x \rightarrow x_1 x_2 \rightarrow x_1 x_2 x_3 \rightarrow x_1 x_1 x_3 \rightarrow x_1 x_1 \mathbf{b}$$



# Substitution (1)

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- A **substitution** is a set of pairs

$$\theta = \{ (x_1, \tau_1), (x_2, \tau_2), \dots, (x_n, \tau_n) \}$$

where  $x_1, x_2, \dots, x_n$  are distinct variables and

$\tau_1, \tau_2, \dots, \tau_n$  are patterns.

- Applying a substitution  $\theta$  to a pattern  $\pi$  is replacing every variable  $x_i$  in  $\pi$  with  $\tau_i$  simultaneously.

The result is denoted by  $\pi\theta$ .

## Example

$$\theta_1 = \{ (x, \mathbf{bba}), (y, \mathbf{ba}) \}$$

$$\theta_2 = \{ (x, \mathbf{bya}), (y, \mathbf{ayb}) \}$$

$$\mathbf{b}x\mathbf{a}x\mathbf{b}\theta_1 = \mathbf{bbbaabbab}, \mathbf{b}x\mathbf{a}x\mathbf{b}\theta_2 = \mathbf{bbyaabyab},$$

$$\mathbf{a}x\mathbf{bbya}\theta_1 = \mathbf{abbabbbaa}, \mathbf{a}x\mathbf{bbya}\theta_2 = \mathbf{abyabbayba}$$





# Anti-Unification

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- A pattern  $\pi$  is an **anti-unifier** of the set  $C$  of positive examples if  $C \subset L(\pi)$ , i.e., for each positive example  $w$ ,  $w = \pi\theta$ .
- A pattern  $\pi$  is a **least common anti-unifier** of  $C$  if  $\pi$  is an anti-unifier of  $C$  and no  $\pi' \leq \pi$  satisfies  $C \subset L(\pi')$ .

## Examples

The least common anti-unifier of `abaaab` and `aaabb` is `axayb`.

The least common anti-unifier of `konnichiwa` and `konbanwa` are `konxwa`.

The least common anti-unifiers of `konnichiwa` and `konbanwa` are `konxwa` and `koxnywa`.



# Notes

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- The operation of “making one step refinement” can be regarded as “applying derivative”



## The learning algorithm *learn-patterns*

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For  $n = 1$  forever

receive  $e_n = \langle s_n, b_n \rangle$

compute the list  $l = \pi_1, \pi_2, \dots, \pi_k$  of all the least common anti-unifications of the set of positives  $C_n = \{s_j : \langle s_j, + \rangle \text{ and } j = 1, 2, \dots, n\}$

for each  $\pi_j$  in the list  $l$

if an  $e_j = \langle s_j, - \rangle$  s.t.  $s_j \in L(\pi_j)$  is found

delete  $\pi_j$  from  $l$

if  $l$  is not empty

return one  $\pi_i$



# In Theoretical Form

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**Lemma 2** Let  $\pi_1, \pi_2, \dots, \pi_n$  be patterns. If the language  $L(\pi_k)$  is minimal in  $\{L(\pi_1), L(\pi_2), \dots, L(\pi_n)\}$ , then  $\pi_k$  is one of the longest patterns in the list.

**Lemma 3** Let  $\pi_1$  and  $\pi_2$  be patterns of same length. Then  $L(\pi_1) \subseteq L(\pi_2)$  if and only if  $\pi_2 \theta = \pi_1$ .

**Note** If we do not assume  $\pi_1$  and  $\pi_2$  be patterns of same length, then it is not decidable whether or not  $L(\pi_1) \subseteq L(\pi_2)$ .



# Which pattern should be chosen?

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- Let  $C$  be a set of (positive) examples
  1. Select all shortest examples.
  2. Look for one of the minimal patterns between  $x$  (a singleton variable) and the anti-unifier of the shortest examples, and return it.

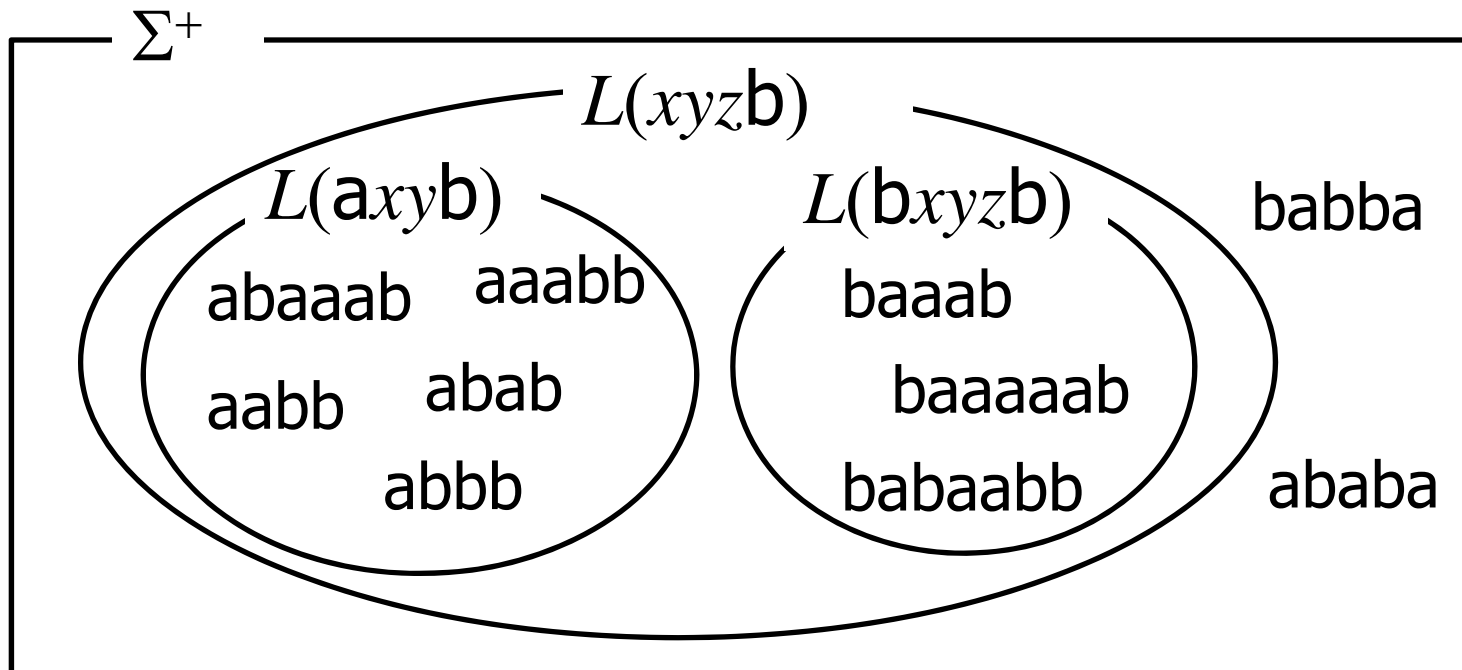
Note: If we only follow the identification-in-the-limit criterion, the second can be simplified as 2'. Return the anti-unifier of the shortest examples but this might not seem “learning”.

# Outputting a Pair of Patterns

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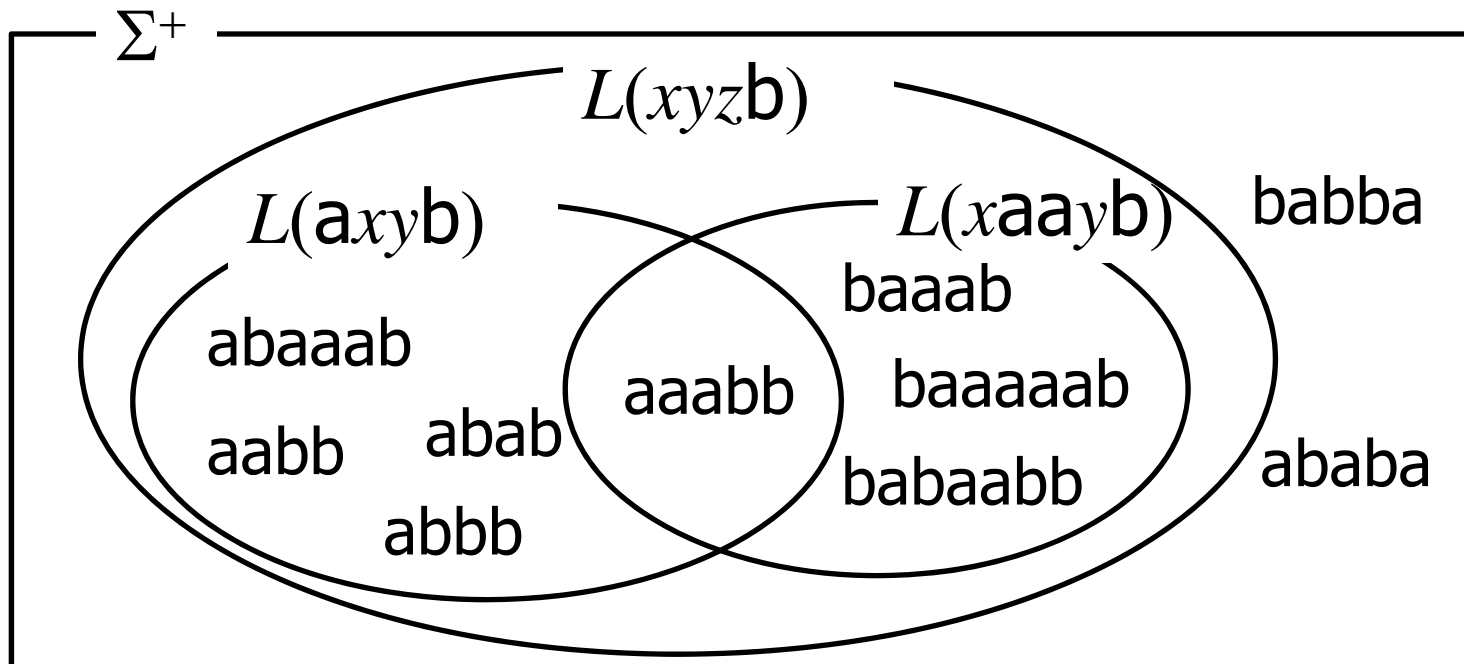


# Outputting a Pair of Patterns

$C = \{babaabb, aaab, baaab, aabb, abab, baaaaab, abbb, aaabb, baaab\}$

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$baaab, baaaaab, aaabb, babaabb \in L(xaayb)$



# Downward Coverset Algorithm

Given a data set  $C$

For **each minimally common anti-unifier**  $\pi$  of  $C$

For each a pair  $\pi_1, \pi_2$  of patterns just beneath  $\pi$  in the  
Hasse Diagram

if  $L(\pi_2) \subset C - L(\pi_1)$  then

make minimally common anti-unification  $\pi_1'$   
of  $C \cap L(\pi_1)$

and

minimally common anti-unification  $\pi_2'$  of  $C - L(\pi_1)$





# Correctness of Learning Algorithms

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# Positive and Negative examples



$e_1, e_2, e_3, \dots$



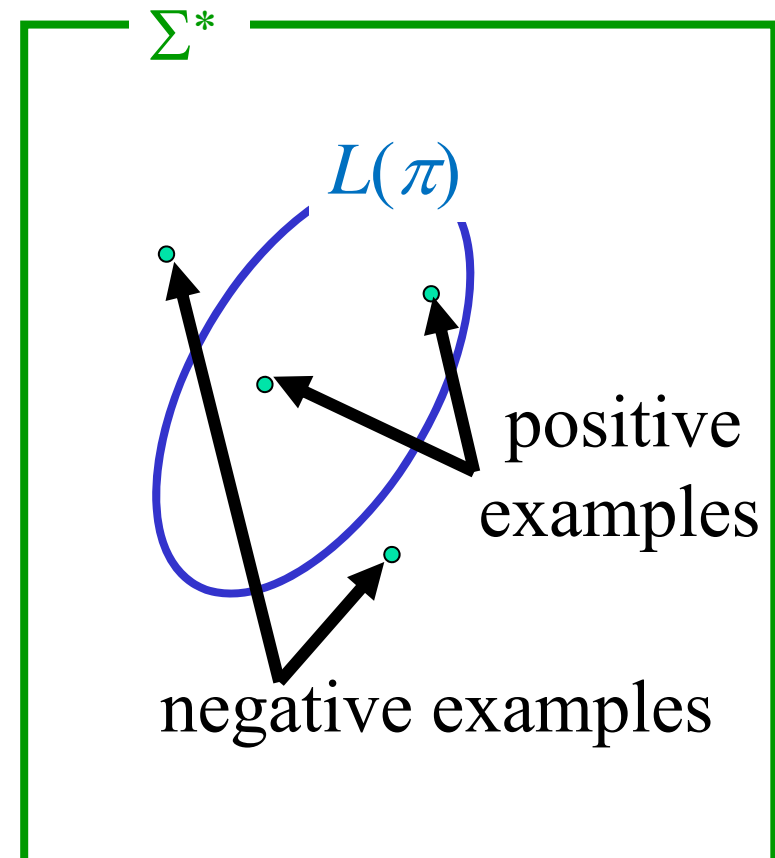
$L(\pi)$  : a language represented  
with a pattern  $\pi$

- a **positive example** on  $L(\pi)$  :

$\langle s, + \rangle$  for  $x \in L(\pi)$

a **negative example** on  $L(\pi)$  :

$\langle s, - \rangle$  for  $x \notin L(\pi)$





# Abstract Classification

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- A half-plane  $P$  which contains  $C$  (yes) and excludes  $D$  (no) is to be learned
- The half-plane  $P$  is represented as a pair  $(\mathbf{w}, c)$  which means the linear inequation  $(\mathbf{w}, \mathbf{x}) + c > 0$ .

- Let  $C(p) = \{\mathbf{x} \in \mathbf{R}^n \mid p(\mathbf{x})\}$  for a predicate  $p$ .

Then the search space (version space) is

$$\mathbf{C} = \{C(\lambda \mathbf{x} \cdot ((\mathbf{w}, \mathbf{x}) + c > 0)) \mid \mathbf{w} \in \mathbf{R}^n, c \in \mathbf{R}^n\}.$$

The set of parameter  $s$  are from

$$\mathbf{H} = \{(\mathbf{w}, c) \mid \mathbf{w} \in \mathbf{R}^n, c \in \mathbf{R}^n\}.$$

- The training examples are provided as the sets  $C$  and  $D$ .
- A learning algorithm is provided.



# Typical evaluation method

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- A learning algorithm  $A$  is evaluated with test data as follows.

Step 1. Let  $C_*$  be set of all positive data and  $D_*$  be all negatives.

Step 2. Select subsets  $C_{\text{training}} \subset C_*$  and  $D_{\text{training}} \subset D_*$  for training.

Step 3. Apply  $A$  to the pair  $C_{\text{training}}$  and  $D_{\text{training}}$  and obtain a rule  $f$ .

Step 4. Select subsets  $C_{\text{test}}$  and  $D_{\text{test}}$  make a confusion matrix.

Step 5. Calculate some measures from the confusion matrix.



# Confusion Matrix

- Every data is represented as a pair  $\mathbf{x} = \langle s, p \rangle$   
 $p = +$  if  $s \in C$  and  $p = -$  if  $s \in D$

	$C_{\text{test}}$	$D_{\text{test}}$
$\{s \in C_{\text{test}} \cup D_{\text{test}} / f(s) = 1\}$ positive	true positive	false positive
$\{s \in C_{\text{test}} \cup D_{\text{test}} / f(s) = 0\}$ negative	false negative	true negative



# Measures

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- Accuracy 
$$\frac{|TP| + |TN|}{|TP| + |FP| + |TN| + |FN|}$$

- Error rates  $1 - \text{Accuracy}$

- Precision (positive predictive rate)

$$\frac{|TP|}{|TP| + |FP|}$$

- Recall (coverage, true-positive rate, sensitivity)

$$\frac{|TP|}{|TP| + |FN|}$$

# When learning is correct?

- Every data is represented as a pair  $\mathbf{x} = \langle s, p \rangle$   
 $p = +$  if  $s \in C$  and  $p = -$  if  $s \in D$

	$C_{\text{test}}$	$D_{\text{test}}$
$\{s \in C_{\text{test}} \cup D_{\text{test}} / f(s) = 1\}$ positive		empty
$\{s \in C_{\text{test}} \cup D_{\text{test}} / f(s) = 0\}$ negative	empty	



# Comparison with an Unknown Function

- Assuming an unknown discriminant function  $f_*$  such that

$$C_* = \{ \mathbf{x} = \langle \mathbf{w}, 1 \rangle \mid f_*(\mathbf{w}) = 1 \}$$

$$D_* = \{ \mathbf{x} = \langle \mathbf{w}, 1 \rangle \mid f_*(\mathbf{w}) = 0 \}$$

we evaluate the learning algorithm  $A$  by comparing its output  $f$  with  $f_*$ .

- If every function  $f$  that we treat is represented as a parameter  $p$ , we compare  $p$  for  $f$  and  $p_*$  for  $f_*$ .
  - Every linear inequation  $(\mathbf{w}, \mathbf{x}) + c > 0$  is represented as a parameter vector  $(\mathbf{w}, c)$ .
  - We evaluate  $A$  with comparing  $(\mathbf{w}, c)$  and  $(\mathbf{w}_*, c_*)$ .





# Correctness with Unknown Functions (1)

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- Assuming an unknown discriminant function  $f_*$ , we could say that the learning algorithm  $A$  is **correct** if the output  $f$  of  $A$  becomes *nearer*  $f_*$  when more data are fed to  $A$ .

- Mathematically, consider a infinite sequence of training data sets  $(C_0, D_0), (C_1, D_1), (C_2, D_2), \dots$  such that

$$C_0 \subset C_1 \subset C_2 \subset \dots \subset C_*$$

$$D_0 \subset D_1 \subset D_2 \subset \dots \subset D_*$$

Let  $f_i$  be the output of  $A$  for  $C_i$  and  $D_i$ .

Then the algorithm  $A$  is **correct** if  $\|f_i - f_*\| \rightarrow 0$  for **any** of such sequences.



## Correctness with Unknown Functions (2)

- A similar definition of correctness could be defined:  
If the learning algorithm  $A$  is **correct** if  
 $A$  outputs  $f_*$  whenever an enough amount of training data are fed to  $A$ .
- Mathematically, consider a infinite sequence of training data sets  $(C_1, D_1), (C_2, D_2), (C_3, D_3), \dots$  such that
$$C_1 \subset C_2 \subset C_3 \subset \dots \subset C_*$$
and
$$D_1 \subset D_2 \subset D_3 \subset \dots \subset D_*.$$
Let  $f_i$  be the output of  $A$  for  $C_i$  and  $D_i$ .  
Then the algorithm  $A$  is **correct** if for **each** of such sequences, there exists an  $N$  such that  $\|f_i - f_*\| = 0$  for all  $n \geq N$ .



# Estimation and Learning

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- Estimation in **statistics** means to infer the value of parameters from examples.
- We assume an **unknown** value of  $\theta$ .
- The parameter  $\theta$  affects the distribution of  $D(\theta)$ , and only finite number of data are coming from the set.
- We expect that, more data from  $D(\theta)$ , better conjecture  $\theta^\wedge$  could be obtained.
- The conjecture  $\theta^\wedge$  is (**statistically**) **consistent** if

$$\lim_{n \rightarrow \infty} E(\theta^\wedge) = \theta$$



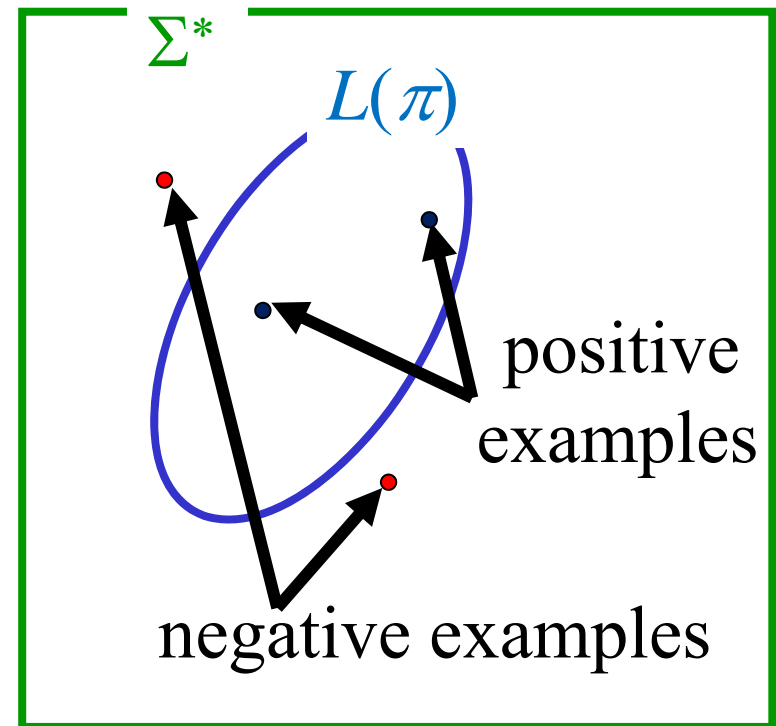
# Correctness of Learning Patterns

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# Examples on $L(\pi)$

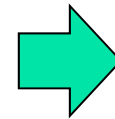
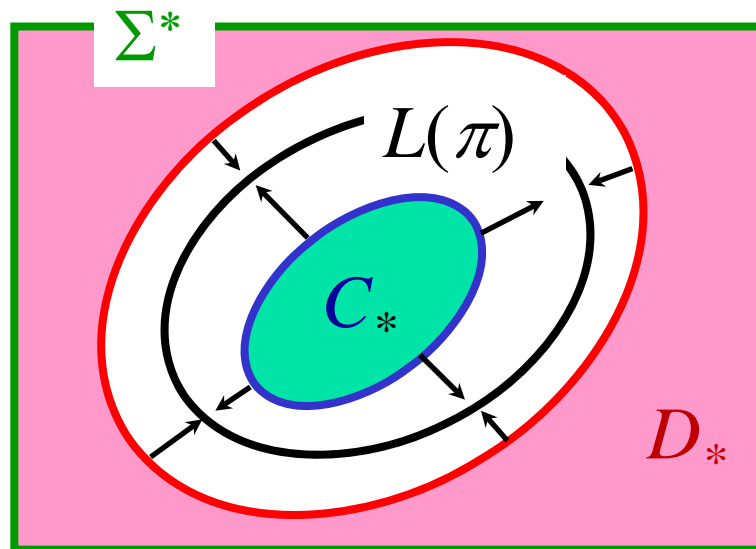
- We assume that, for an **unknown** pattern  $\pi_*$ ,  $C_*$  is a finite set of positive examples **on  $L(\pi_*)$**  and  $D_*$  is a finite set of negative examples **on  $L(\pi_*)$** .

- a positive example **on  $L(\pi)$**  :  
 $\langle x, + \rangle$  for  $x \in L(\pi)$
- a negative example **on  $L(\pi)$**  :  
 $\langle x, - \rangle$  for  $x \notin L(\pi)$



# Question

- If we give more and more (negative and positive) examples on  $L(\pi_*)$  to an learning algorithm, does it eventually conjecture the unknown  $\pi_*$ ?
- We have to give mathematical definitions of
  - giving **more and more** examples, and
    - or giving examples **many enough**
  - conjecturing  $\pi$  **eventually**.



$$\pi^{\wedge} \rightarrow \pi$$



# Assumption

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- Without loss of generality, we may assume that learning algorithm takes examples in  $C_*$  and  $D_*$  **one by one**.
- In the situation that both  $C_i$  and  $D_i$  grow, we assume that an infinite **sequence**  $\sigma$  of strings marked with either + or -, and some **truncation** of  $\sigma$  corresponds to  $C_i$  and  $D_i$ .

## Example

$\sigma: \langle ab, + \rangle, \langle aab, + \rangle, \langle bbb, - \rangle, \langle aaab, + \rangle, \langle abba, - \rangle, | \dots$

$$C_i = \{ab, aab, aaab\},$$

$$D_i = \{bbb, abba\}.$$



# Presentations

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**Definition** A **presentation** of  $L(\pi)$  is a **infinite** sequence

$$\sigma: \langle s_0, p_0 \rangle, \langle s_1, p_1 \rangle, \langle s_2, p_2 \rangle, \dots$$

where  $s_i \in \Sigma^*$  and  $p_i = +$  or  $-$ .

- $\langle s, + \rangle$  is a positive example
- $\langle s, - \rangle$  is a negative example
- $\sigma[n] = \langle s_0, p_0 \rangle, \langle s_1, p_1 \rangle, \langle s_2, p_2 \rangle, \dots, \langle s_{n-1}, p_{n-1} \rangle$

**Definition** A presentation  $\sigma$  is **complete** if

any  $x \in L(\pi)$  appears in  $\sigma$  as a positive example  $\langle x, + \rangle$   
at least once and

any  $x \notin L(\pi)$  appears in  $\sigma$  as a negative example  $\langle x, - \rangle$   
at least once.



# Identification in the limit [Gold]



$x_1, x_2, x_3, \dots$



$\pi_1, \pi_2, \pi_3, \dots$

- A learning algorithm  $A$  **EX-identifies**  $L(\pi)$  **in the limit from complete presentations** if for any complete presentation  $\sigma = x_1, x_2, x_3, \dots$  of  $L(\pi)$  and the output sequence  $\pi_1, \pi_2, \pi_3, \dots$  of  $A$ , there exists  $N$  such that for all  $n \geq N$   $\pi_n = \pi'$  and  $L(\pi') = L(\pi)$



## The learning algorithm *learn-patterns*

---

For  $n = 1$  forever

receive  $e_n = \langle s_n, b_n \rangle$

compute the list  $l = \pi_1, \pi_2, \dots, \pi_k$  of all the least common anti-unifications of the set of positives  $C_n = \{s_j : \langle s_j, + \rangle \text{ and } j = 1, 2, \dots, n\}$

for each  $\pi_j$  in the list  $l$

if an  $e_j = \langle s_j, - \rangle$  s.t.  $s_j \in L(\pi_j)$  is found

delete  $\pi_j$  from  $l$

if  $l$  is not empty

return one  $\pi_i$



# Identification of patterns

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**Theorem** The algorithm of *Learn-pattern* EX-identifies the class of all pattern languages in the

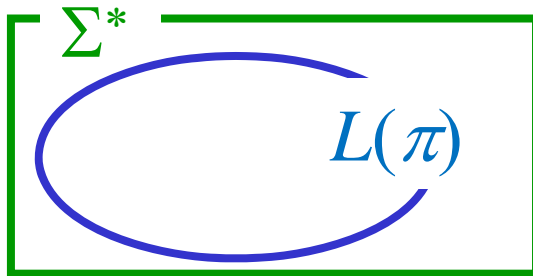
# Positive presentations



$e_1, e_2, e_3, \dots$



$\pi_1, \pi_2, \pi_3, \dots$



- A presentation  $\sigma$  is **positive** if  $\sigma$  consists only of positive example  $\langle s, + \rangle$  and any positive example occurs at least once in  $\sigma$ .
- A presentation  $\sigma$  is **complete** if any  $x \in L(\pi)$  appears in  $\sigma$  as a positive example  $\langle s, + \rangle$  at least once.



## The learning algorithm *learn-patterns*

---

For  $n = 1$  forever

receive  $e_n = \langle s_n, b_n \rangle$

compute the list  $l = \pi_1, \pi_2, \dots, \pi_k$  of all the least common anti-unifications of the set of positives  $C_n = \{s_j : \langle s_j, + \rangle \text{ and } j = 1, 2, \dots, n\}$

~~for each  $\pi_j$  in the list  $l$~~

~~if an  $e_j = \langle s_j, - \rangle$  s.t.  $s_j \in L(\pi_j)$  is found~~

~~delete  $\pi_j$  from  $l$~~

~~if  $l$  is not empty~~

return one  $\pi_i$



# Identification of patterns

---

**Theorem** The revised algorithm of *Learn-pattern* EX-identifies the class of all pattern languages in the limit from positive presentations.