

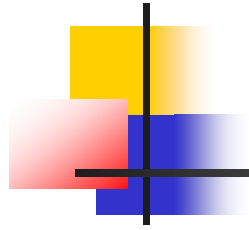


Computational Learning Theory

Learning Finite State Automata

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Learning Automata



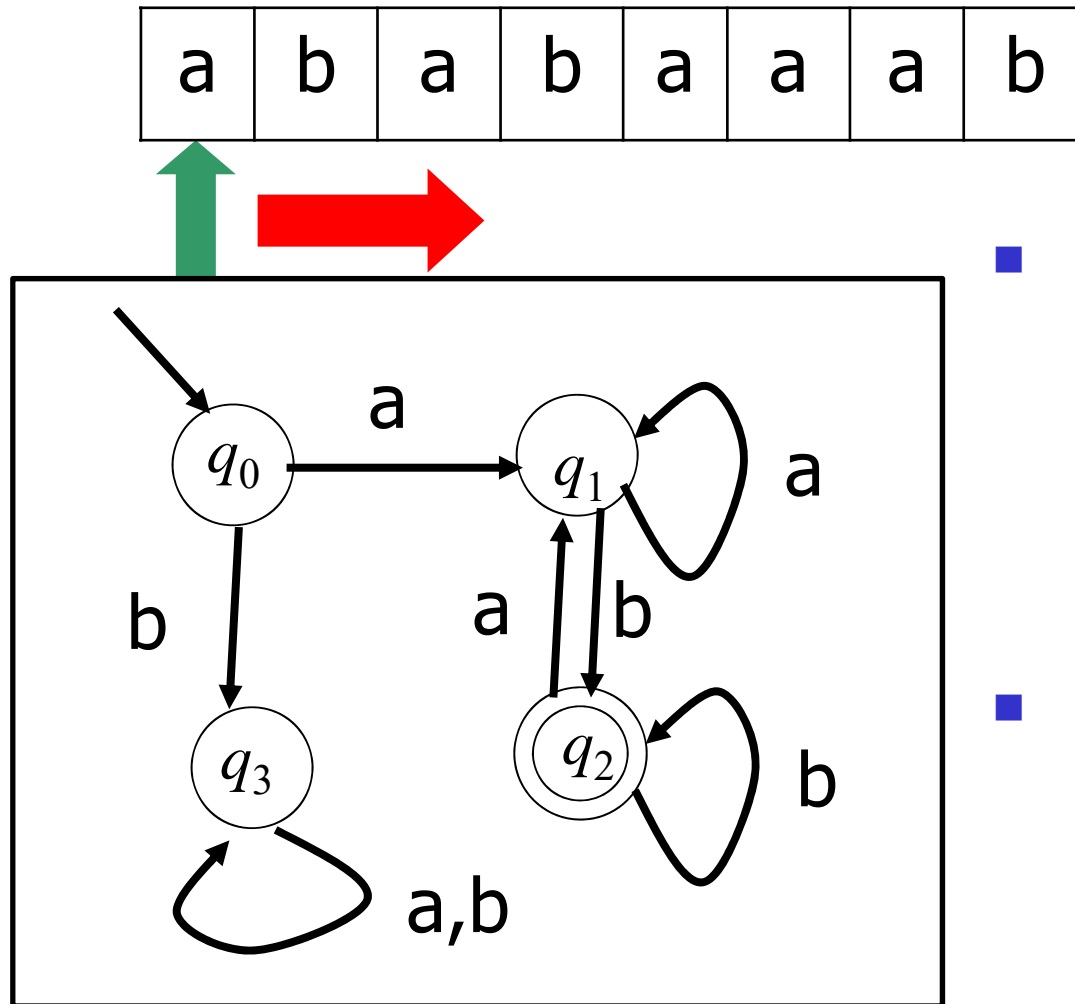
Learning Problems

- Find an FA which accepts the strings in C and rejects the strings in D .

$C = \{ab, aab, abaab, aaab, aaaabbbb, abab\}$

$D = \{a, b, bbbb, abba, baaaaba, babb\}$

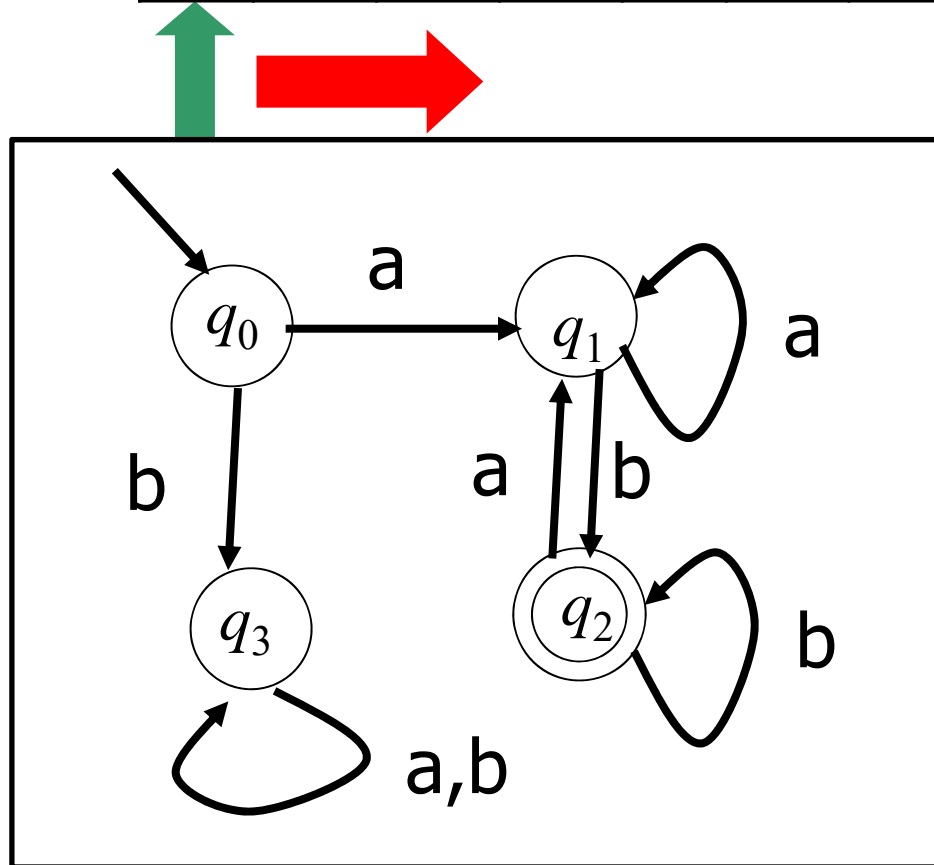
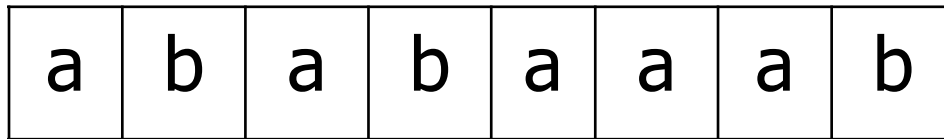
What is an Automaton?



- A change of observed squares **left to right only**, together with a possible change of state of mind.
- **No** change of symbols in the squares.

Machines of this type are called **finite state automata**.

Distinguishing Strings with a FA

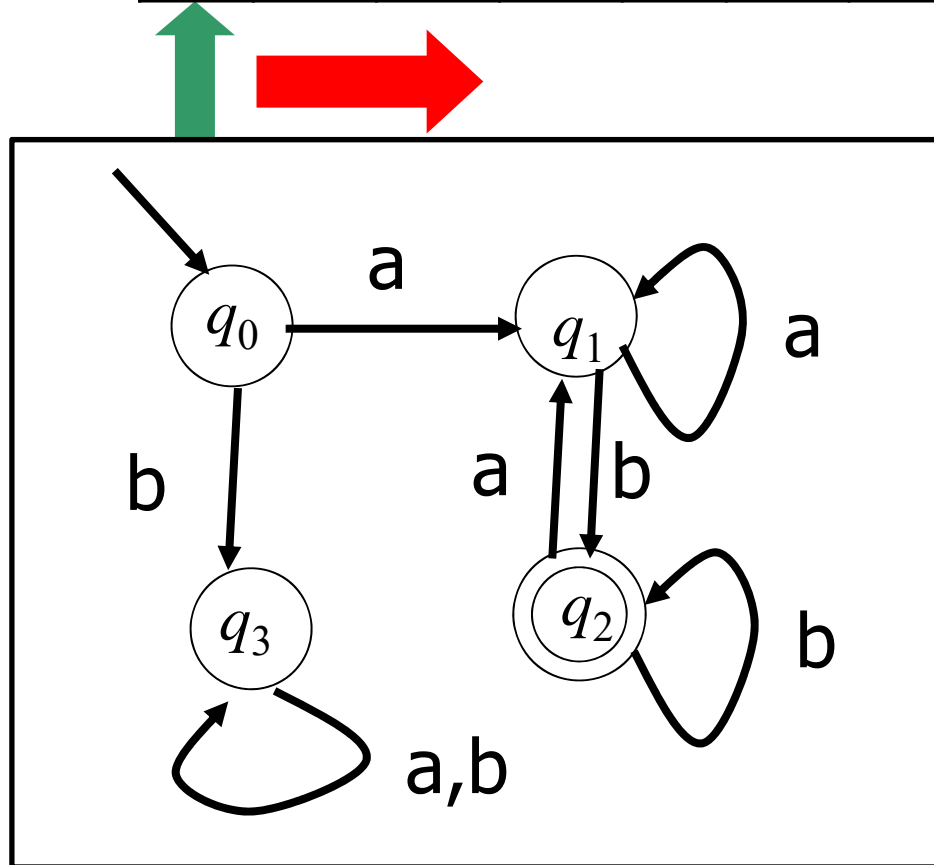


- The input string is accepted by the finite state automaton iff the transition ends at a final state.
- The set of all strings accepted by the automaton is a formal language.

$$L(M) = \{aab, abb, aaab, aabb, abab, \dots\}$$

Table Representation(1)

a b a b a a a b



- The automaton is represented in the form of a table.

	<i>F</i>	a	b
q_0		q_1	q_3
q_1		q_1	q_2
q_2	v	q_1	q_2
q_3		q_3	q_3

Table Representation(2)

- Mathematically, a finite state automaton is represented in the form $M=(\Sigma, S, \delta, s_0, F)$

where

Σ is the alphabet,

S is a set of states,

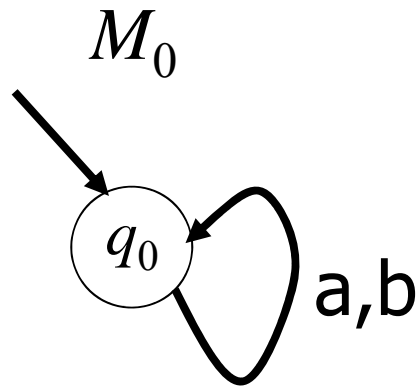
$\delta : S \times \Sigma \rightarrow S$ is a transition function
represented as a transition table,

$q_0 \in S$ is an initial state,

$F \subset S$ is a set of final states.

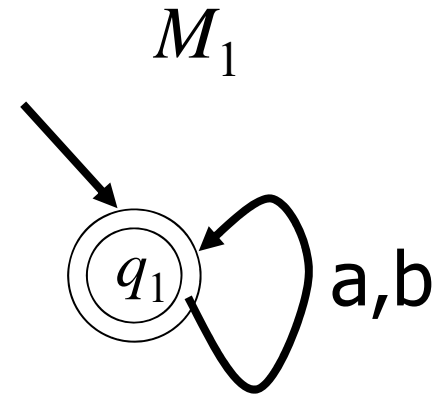
	F	a_1	\dots	a_n
q_0				
\dots				
q_m				

Finite Automata of One State



	F	a	b
q_0		q_0	q_0

$$L(M_0) = \emptyset$$



	F	a	b
q_0	v	q_0	q_0

$$L(M_1) = \Sigma^*$$



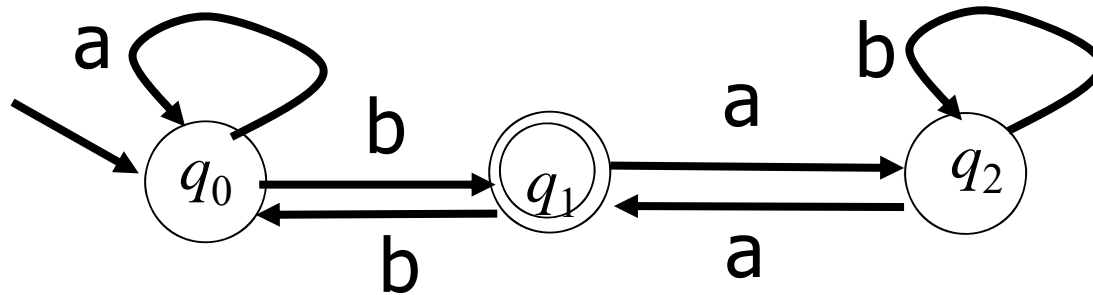
Equivalence of REs and FAs

Theorem [McNorton-Yamada]

- Every regular expression R can be transformed into a finite state automaton so that $L(R) = L(M)$.
- Every finite state automaton M can be transformed into a regular expression R so that $L(M) = L(R)$.

McNorton-Yamada's Method(1)

- Step -1. Let R_{ij} is the regular expression representing the set of **symbols** which directly transits from q_i to q_j . If a transition from q_i to itself exists, add ϵ to the set.



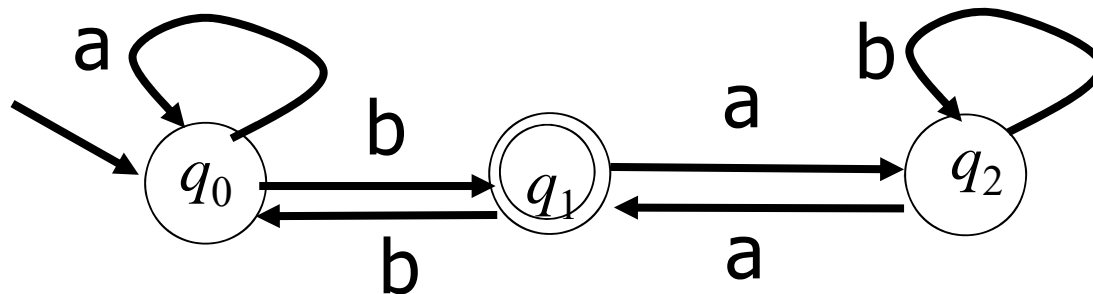
$$R_{00} = \mathbf{a} + \epsilon, \quad R_{11} = \emptyset + \epsilon = \epsilon, \quad R_{22} = \mathbf{b} + \epsilon,$$

$$R_{01} = \mathbf{b}, \quad R_{02} = \emptyset, \quad R_{10} = \mathbf{b}, \quad R_{12} = \mathbf{a},$$

$$R_{20} = \emptyset, \quad R_{21} = \mathbf{a},$$

McNorton-Yamada's Method(2)

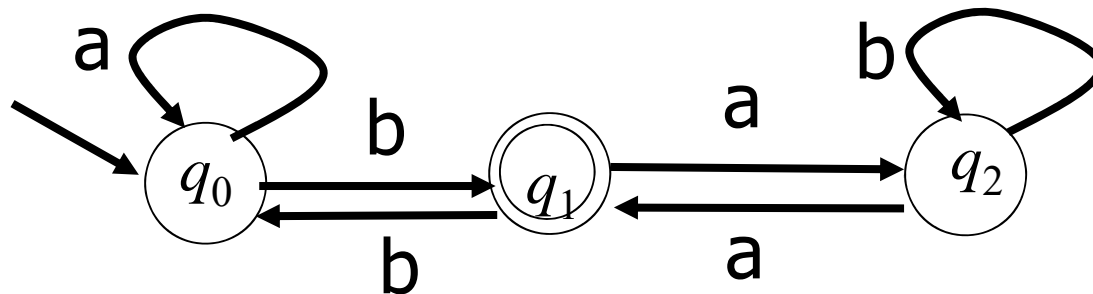
- Step 0a. Let R_{ii}^0 is the regular expression representing the set of strings which transits from q_i to itself directly or via q_0 .



$$\begin{aligned} R_{11}^0 &= R_{11} + R_{10}(R_{00})^* R_{01} \\ &= (\epsilon) + (\mathbf{b} + \epsilon) (\mathbf{a} + \epsilon)^* (\mathbf{b} + \epsilon) \\ &= \epsilon + \mathbf{b a}^* \mathbf{b} \end{aligned}$$

McNorton-Yamada's Method(3)

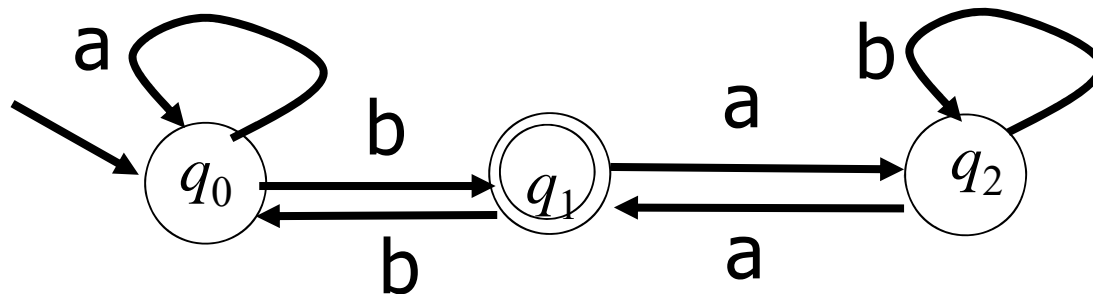
- Step 0b. Let R^0_{ij} is the regular expression representing the set of strings which transits from q_i to q_j directly or via q_0 .



$$\begin{aligned} R^0_{12} &= R_{12} + R_{10}(R_{00})^* R_{02} \\ &= \mathbf{a} + (\mathbf{b} + \varepsilon) (\mathbf{a} + \varepsilon)^* \emptyset \\ &= \mathbf{a} \end{aligned}$$

McNorton-Yamada's Method(4)

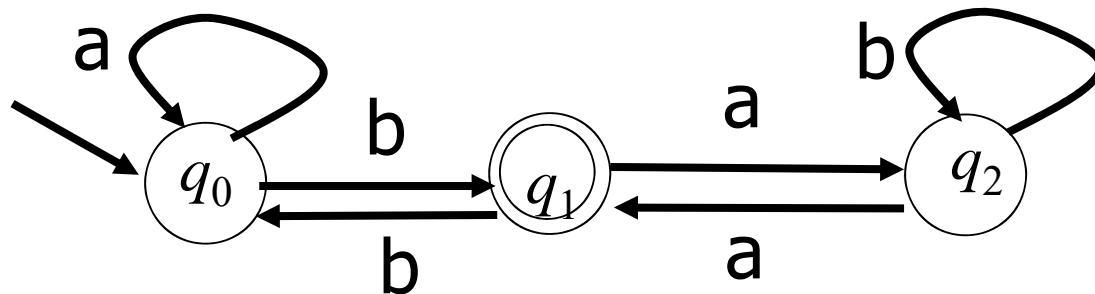
- Step 1. Let R^1_{ij} is the regular expression representing the set of strings which transits from q_i to q_j directly, or via q_0 or q_1 .



$$\begin{aligned}
 R^1_{02} &= R^0_{02} + R^0_{01}(R^0_{11})^* R^0_{12} = \\
 &= \emptyset + (\mathbf{a^* b}) (\epsilon + \mathbf{b a^* b})^* (\mathbf{a}) \\
 &= \mathbf{b + a^* ba + \epsilon + a^* b (b a^* b)^* a}
 \end{aligned}$$

McNorton-Yamada's Method(4)

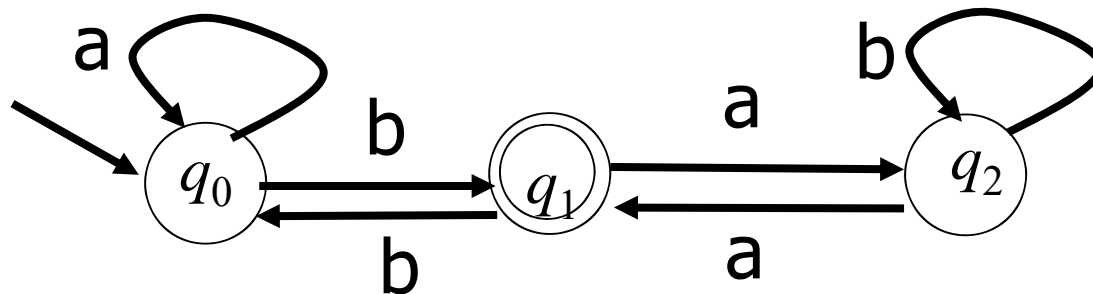
- Step 2. Let R^2_{ij} is the regular expression representing the set of strings which transits from q_i to q_j directly, or via q_0 or q_1 or q_2 .



$$R^2_{02} = R^1_{02} + R^1_{01}(R^1_{11})^* R^1_{12}$$

McNorton-Yamada's Method(6)

- Step k . Let R^k_{ij} is the regular expression representing the set of strings which transits from q_i to q_j directly, or via q_0 or q_1 or ... or q_k .



$$R^2_{02} = R^1_{02} + R^1_{01}(R^1_{11})^* R^1_{12}$$



Formulation of Learning FA

- Formulation of Learning

$$\operatorname{argmin}_{M \in \text{FA}} \left(\sum_{\mathbf{x} \in \text{Data}} \text{Loss}(M, \mathbf{x}) + \lambda P(M) \right)$$

where FA : the set of all finite state automata,

Data : a finite set of pairs $\mathbf{x} = \langle w, s \rangle$ of a string with a sign such that $s = +$ if $w \in C$ and $s = -$ if $w \in D$,

$$\text{Loss}(M, \mathbf{x}) = \begin{cases} 0 & \text{if } \mathbf{x} = \langle w, + \rangle \text{ and } w \in L(M) \\ & \text{or } \mathbf{x} = \langle w, - \rangle \text{ and } w \notin L(M), \\ \infty & \text{otherwise,} \end{cases}$$

$P(M)$: the number of states in M



A Simple Generate-and-Test Algorithm

- Assume we have a method to generate a new automaton.

Let the input data x_1, x_2, \dots, x_N

Initialize M as some automaton.

for $k = 1, 2, \dots$

$M_k = M_{k-1}$

for $n = 1, 2, \dots, N,$

if $(x_n \in C \text{ and } x_n \notin L(M_k)) \text{ or } (x_n \in D \text{ and } x_n \in L(M_k))$

replace M_k with another M'

if $M_k = M_{k-1}$

terminate and output M_k

- With which M' should we replace M ?



Simple Strategy of Learning

- With referring the existence of minimum FA, we can easily imagine a simple strategy of learning:
Generate all FA, and enumerate them from small to large according to their sizes.



Representation of Finite State Automata

- Mathematically, a finite state automaton is represented in the form $M=(\Sigma, S, \delta, s_0, F)$

where

Σ is the alphabet,

S is a set of states,

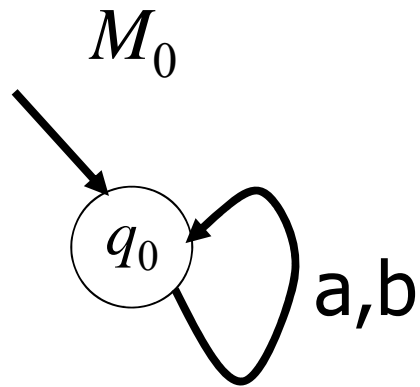
$\delta : S \times \Sigma \rightarrow S$ is a transition function
represented as a transition table,

$q_0 \in S$ is an initial state,

$F \subset S$ is a set of final states.

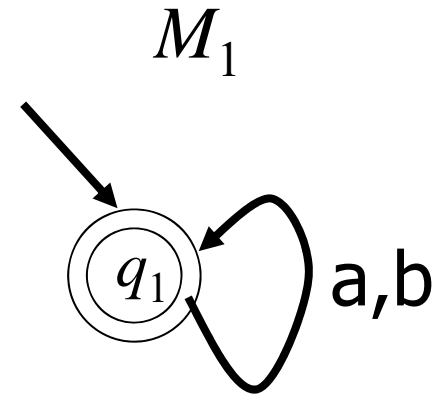
	F	a_1	\dots	a_n
q_0				
\dots				
q_m				

Finite Automata of One State



	F	a	b
q_0		q_0	q_0

$$L(M_0) = \emptyset$$



	F	a	b
q_0	\surd	q_0	q_0

$$L(M_1) = \Sigma^*$$

Generation by Enumeration

- We can make an **infinite** but effective enumeration of all automata, because every automaton can be represented as a transition table.

- This means that we can have an infinite sequence of automata

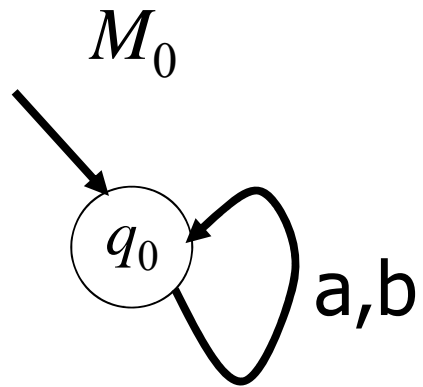
M_1, M_2, \dots

any automaton M appears as $M_i = M$.

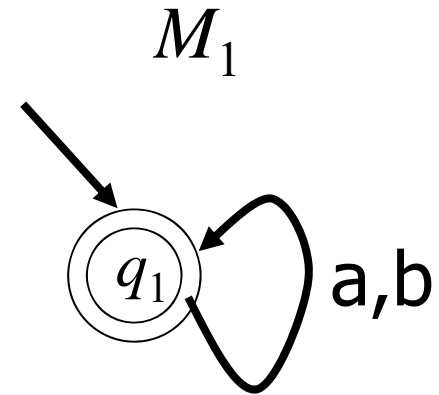
	F	a_1	\dots	a_n
q_0				
\dots				
q_m				

- In the algorithm $M = M_i$ is just replaced with $M' = M_{i+1}$.

Enumeration of Automata(1)

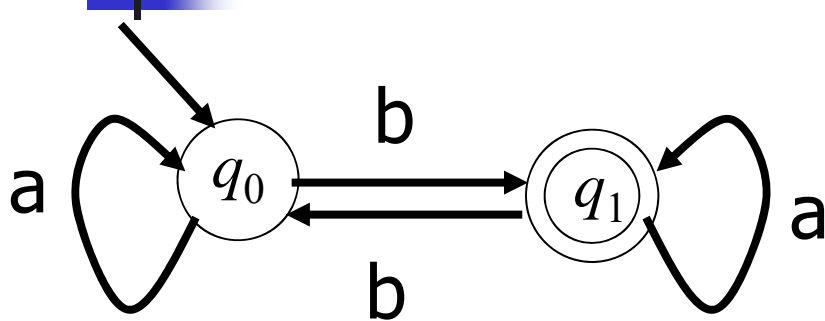


	F	a	b
q_0		q_0	q_0



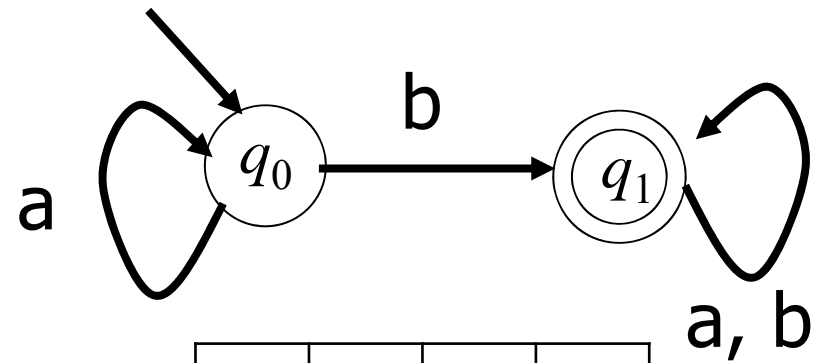
	F	a	b
q_0	v	q_0	q_0

Enumeration of Automata(2)



	<i>F</i>	a	b
q_0		q_0	q_1
q_1	✓	q_1	q_0

	<i>F</i>	a	b
q_0	✓	q_0	q_1
q_1		q_1	q_0



	<i>F</i>	a	b
q_0		q_0	q_1
q_1	✓	q_1	q_1

	<i>F</i>	a	b
q_0	✓	q_0	q_1
q_1		q_1	q_1

...



A Simple Generate-and-Test Algorithm

Assume a procedure of enumerating all FA so that the enumeration $M_0, M_1, M_2, \dots, M_i, \dots$ satisfies

$$P(M_0) \leq P(M_1) \leq P(M_2) \leq \dots \leq P(M_i) \leq \dots$$

Let the input data x_1, x_2, \dots, x_N

Initialize $M = M_0$ as an automaton consisting of one state

let $k = 0$

forever

let $k' = k$

for $n = 1, 2, \dots, N,$

if $(x_n \in C \text{ and } x_n \notin L(M_{k'}))$ or $(x_n \in D \text{ and } x_n \in L(M_{k'}))$

replace k with $k + 1$

if $k' = k$

terminate and output M_k



Some Properties of the Algorithm

- The algorithm always terminates because for any pair of C and D ($C \cap D = \emptyset$), there exists a finite state automaton M such that $L(M) = C$ and $L(M) \cap D = \emptyset$, and this M appears in the enumeration as $M_i = M$.
- If the enumeration is made so that “smaller automata appear earlier”, the algorithm returns the smallest automaton M such that

$$L(M) \subset C \text{ and } L(M) \cap D = \emptyset.$$



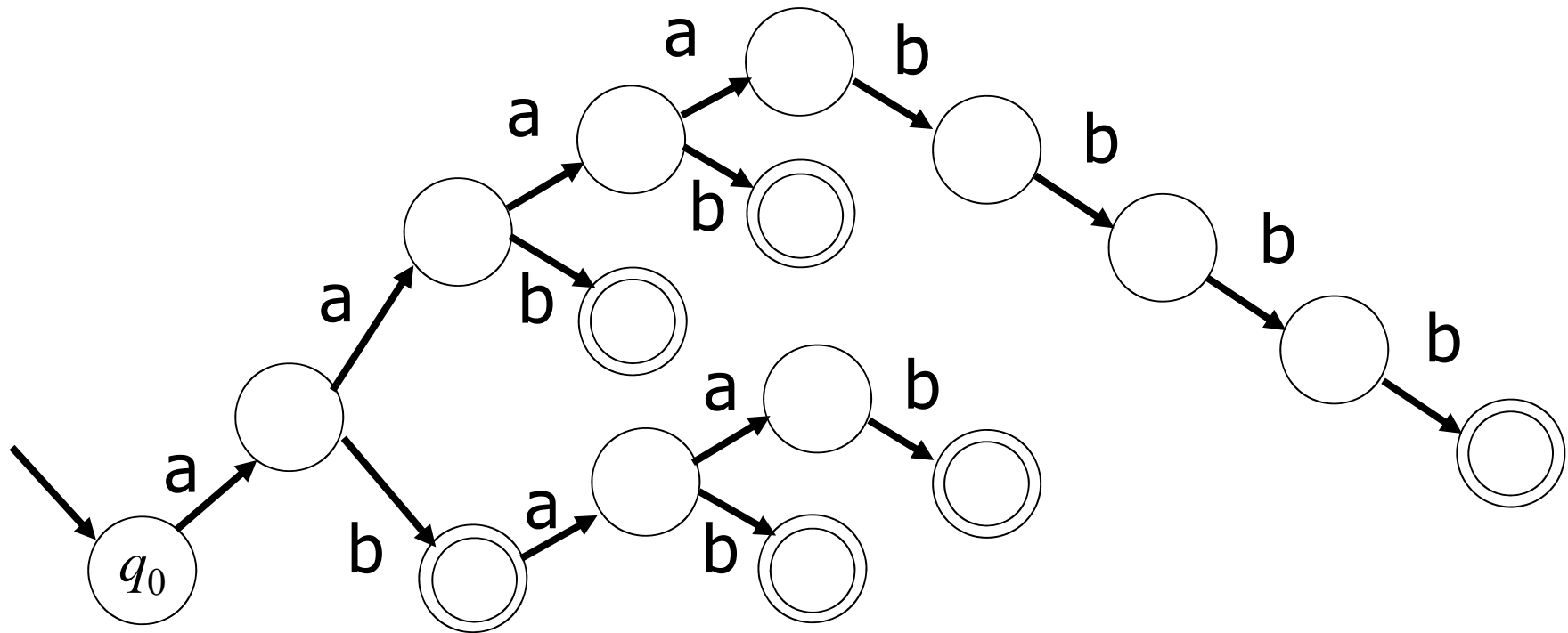
Note 1

- There might be several automata consistent with given C and D .
- For any **finite** set $C \subset \Sigma^*$, we can easily construct a finite state automaton which accepts only the strings in C , and rejects all strings not contained in C .
 - The FA is called a **prefix tree automaton**.

Example

$C_1 = \{ab, aab, abaab, aaab, aaaabbbb, abab\}$

$D_1 = \{a, b, bbbb, abba, baaaaba, babb\}$





Prefixes of a String

Definition A string $u \in \Sigma^*$ is a **prefix** of another string $s \in \Sigma^*$

\Leftrightarrow There exists a string $v \in \Sigma^*$ such that $s = uv$.

For a set $S \subseteq \Sigma^*$, we let

$$P(S) = \{ u \in \Sigma^* \mid u \text{ is a prefix of some } s \text{ in } S \}.$$

Example The prefixes of **aab** are ε , **a**, **aa**, and **aab**, the prefixes of **ab** are ε , **a**, and **ab**, and so we have

$$P(\{\mathbf{ab}, \mathbf{aab}\}) = \{\varepsilon, \mathbf{a}, \mathbf{aa}, \mathbf{ab}, \mathbf{aab}\}.$$

Prefix Tree Automata

Definition A **prefix tree automaton** of a finite set $S \subseteq \Sigma^*$ is defined as

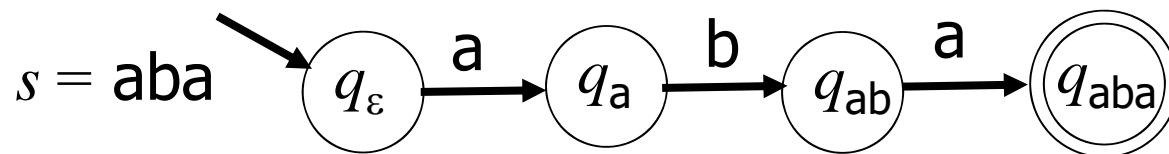
$$M = (\Sigma, Q = Q_{P(S)}, \delta, q_0 = q_\varepsilon, F = Q_S)$$

where

$$Q_{P(S)} = \{ q_s \mid s \in P(S) \},$$

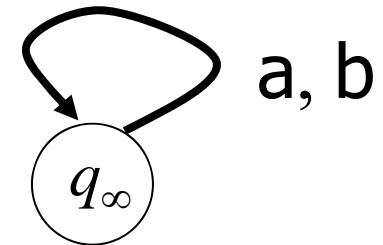
$$\delta(q_s, c) = q_{sc} \quad \text{if } s \in P(S) \text{ and } sc \in P(S),$$

$$Q_S = \{ q_s \mid s \in S \}$$



Note

- The automaton does not satisfy the mathematical definition because, for example, no transition from q_0 is defined for the symbol **b**.
 - This means that δ is not a mathematical function, but a **partial** function.
- This fault can be easily recovered by adding a special state q_∞ (called a **dead state**) and letting every missing value of δ be q_∞ .
- Under assuming this recover, we modify the definition.





Finite state automata (3)

- A finite state automata is defined as

$$M = (\Sigma, Q, \delta, q_0, F)$$

where

Q is a set of states

$\delta : Q \times \Sigma \rightarrow Q$ is a **partial** transition function
represented as a transition table

$q_0 \in Q$ is an initial state

$F \subset Q$ is a set of final state



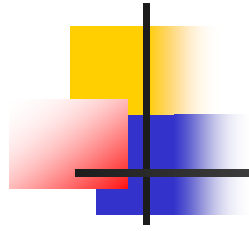
Is the automaton pleasant?

- The prefix tree automaton T **overfits** C .
 - It accepts no strings which is not in C .
 - It must be revised if new examples are added to C .
 - It is a natural to assume that positive examples and negative are added more experiments or observations are made.
- The prefix tree automaton T does not generalize C .
 - Intuitively learning should be activity of making **general** guesses from examples.
 - The prefix automaton tree **overgeneralize** the set D of negative examples.



Note 2

- There is a minimum one in the sense that the number of states in it is minimum.
- Unfortunately it is proved that the problem of finding a **minimum** automaton consistent with given C and D is NP-hard.
 - The activity of a learning algorithm should not be evaluated (justified) only on the viewpoint of optimization.
 - Even though it were not ensured that the algorithm returns the best solution, the algorithm could work as “learning”.



Generalization by Merging States

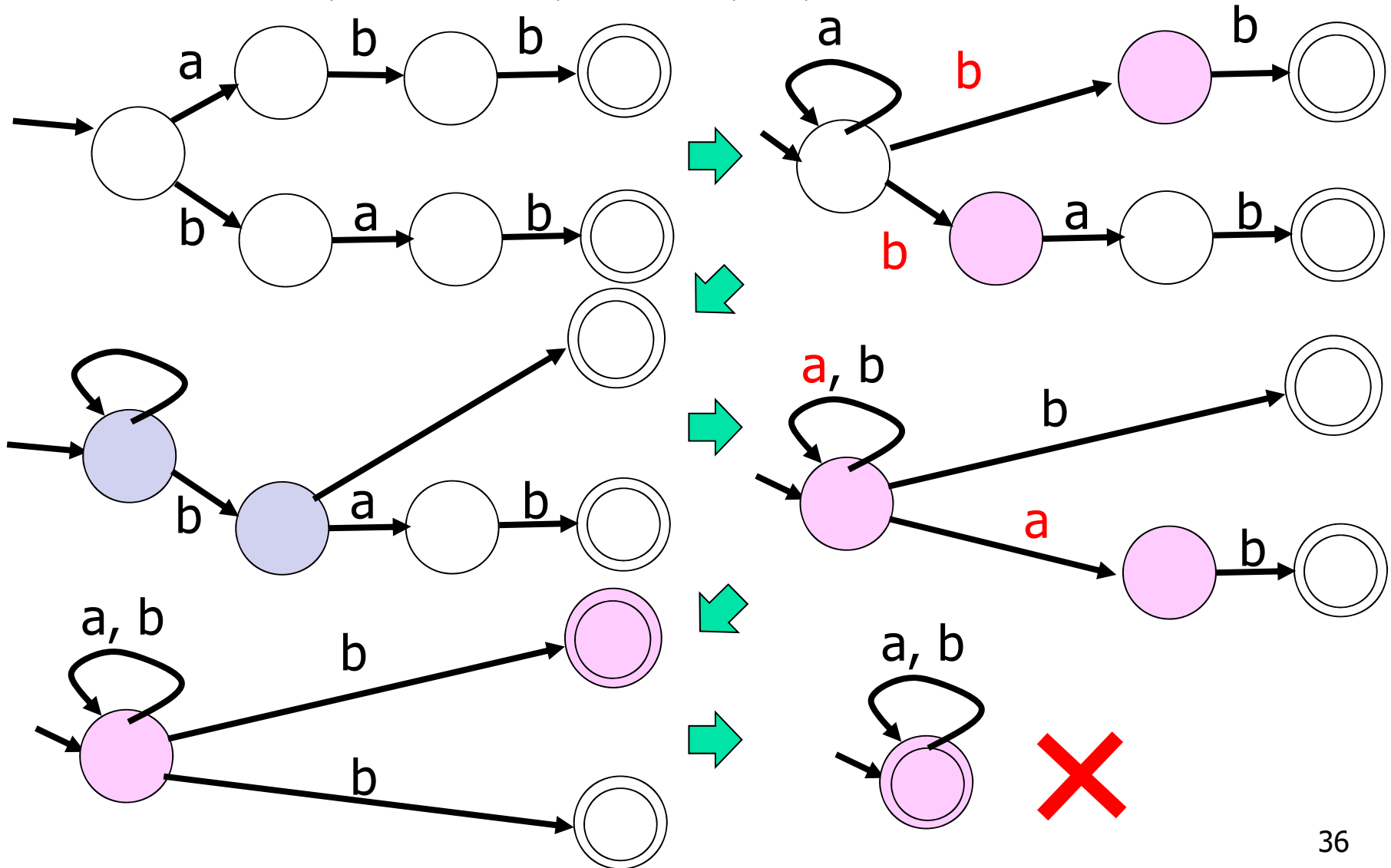


Generalization by Merging States

- The prefix tree T can be transformed into a more general automaton by merging several states into one states.

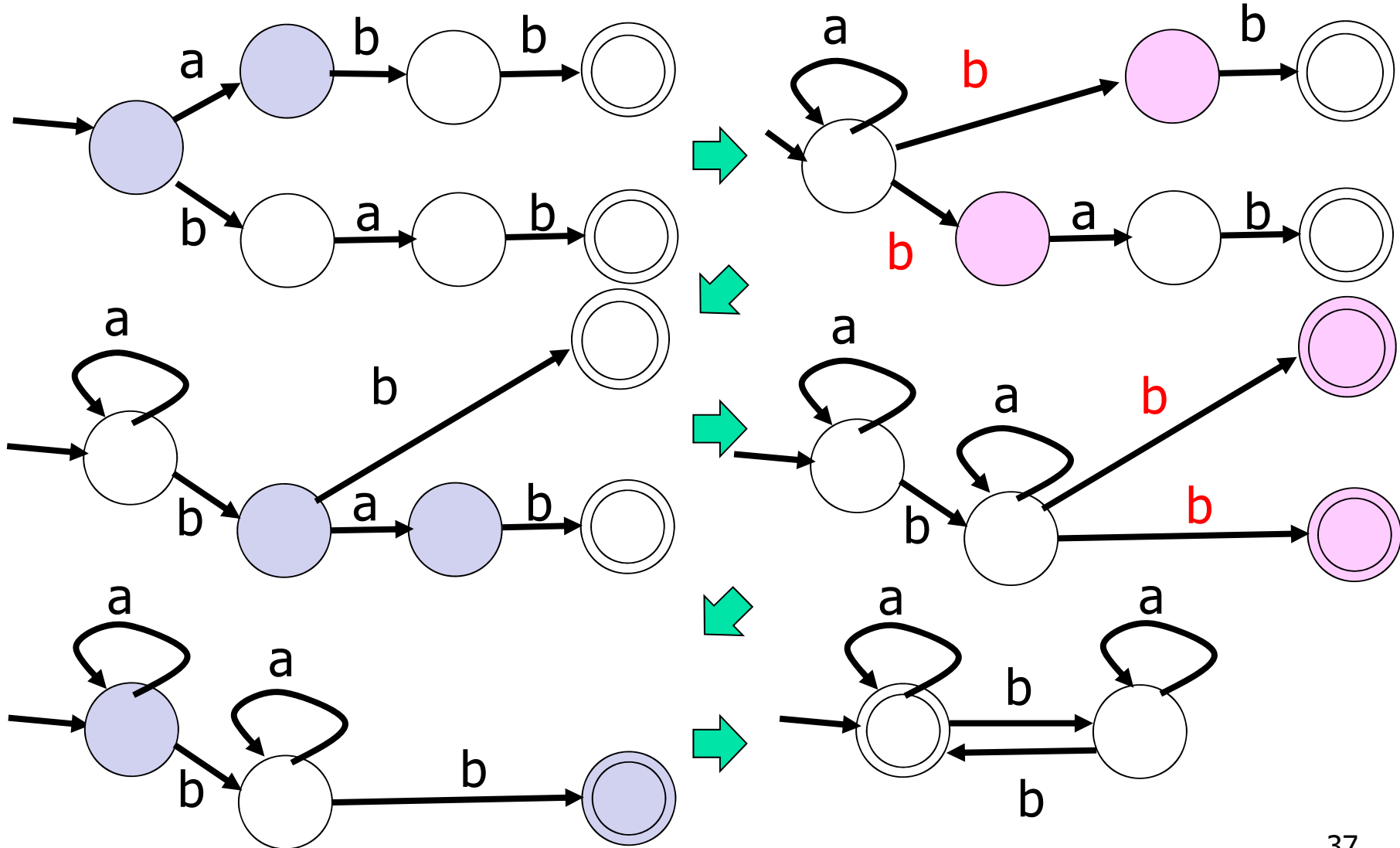
Example: Merging States

$C = \{abb, bab\}$, $D = \{ab\}$



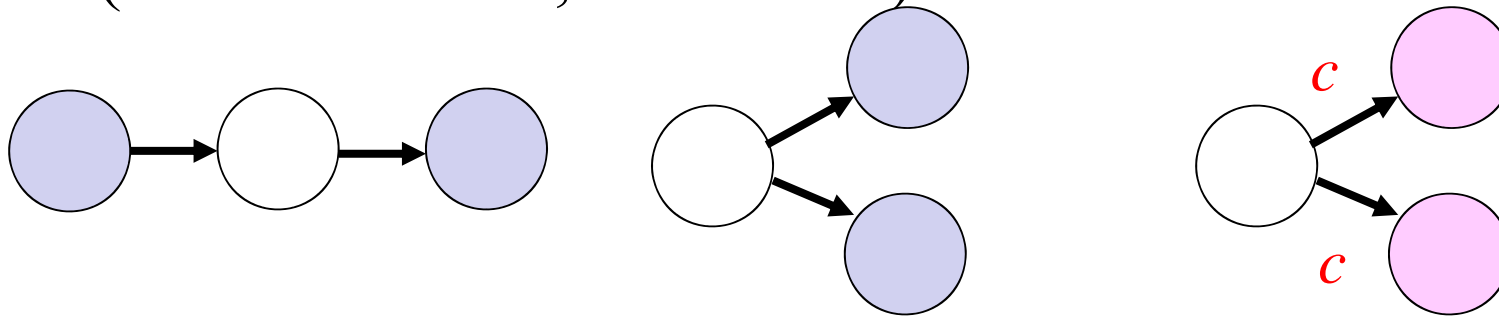
Example: Merging States(cont.)

$C = \{abb, bab\}$, $D = \{ab\}$



Two Types of Merge

- We have to treat two types of merge:
 1. Merging two states to generate a more general automaton, and
 2. Merging two states to keep the automaton **deterministic** (in other words, **consistent**).



- Strategy: first apply the first merge, and then try the second merge as far as possible.



Partitions and Blocks

Definition A **partition** of a set Q of states of a automaton, is a collection $\pi = \{B_1, B_2, \dots, B_n\}$ of subsets of Q satisfying

1. every B_i is not empty,
2. $B_i \cap B_j = \emptyset$ for every pair of i and j such that $i \neq j$,
3. $B_1 \cup B_2 \cup \dots \cup B_n = Q$.

Every B_i is called a block of π .

- A block $B = \{q_1, q_2, \dots, q_m\}$ represents a state obtained by merging the states q_1, q_2, \dots, q_m into one.

Definition Let $\pi = \{B_1, B_2, \dots, B_n\}$ be a partition of states.

To **merge** two blocks B_i and B_j means to revise π to

$$\pi_{(i,j)} = \{B_1, B_2, \dots, B_n\} - \{B_i, B_j\} \cup \{B_i \cup B_j\}.$$

Consistent Partition

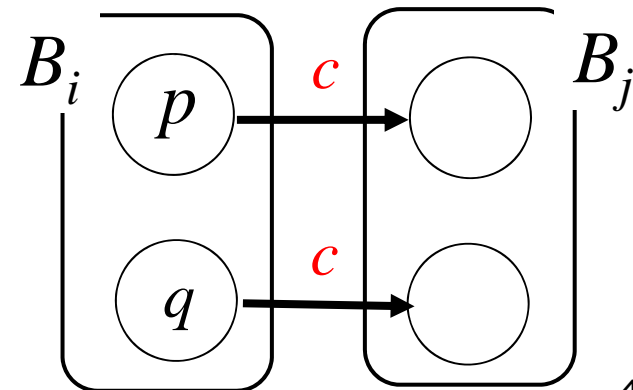
Definition A partition $\pi = \{B_1, B_2, \dots, B_n\}$ for $M = (\Sigma, Q, \delta, q_0, F)$ is **consistent**

\Leftrightarrow

for every block B_i , every pair $p, q \in B_i$ and every symbol $c \in \Sigma$,

if both $\delta(p, c)$ and $\delta(q, c)$ are defined, then

there is a block B_j such that both $\delta(p, c)$ and $\delta(q, c) \in B_j$.





Partitioned Automata

If a partition $\pi = \{B_1, B_2, \dots, B_n\}$ for $M = (\Sigma, Q, \delta, q_0, F)$ is consistent we can define a partial function

$$\delta' : \pi \times \Sigma \rightarrow \pi$$

and also an automaton $M' = (\Sigma, \pi, \delta', B_0, F')$ with

$$F' = \{B_i / \text{some } q \in B_i \text{ is in } F \}.$$

The automaton is denoted M/π .



RPNI Algorithm [Oncina and Gracia92]

Regular Positive Negative Inference (RPNI) Algorithm

Inputs : $C \subset \Sigma^*$: a finite set of positive examples

$D \subset \Sigma^*$: a finite set of negative examples

Method : **Make a list $[s_1, s_2, \dots, s_n]$ of elements in $P(C)$**

Make the prefix automaton M of C ; $k = 0$; $\pi_0 = \{\{q_s\} \mid s \in P(C)\}$

for $i = 2$ **to** n

for $j = 1$ **to** $i - 1$

if $q_{s_i} \in B_i$ and $q_{s_j} \in B_j$ such that $B_i \neq B_j$

 let π' be the partition obtained by merging B_i and B_j

while π' is not consistent

 Choose a pair $q' \in B'$ and $q'' \in B''$ violating the consistency

$\pi' :=$ the partition obtained by merging B' and B'' in π'

if M/π' rejects all strings in D

$\pi_k := \pi'$; $k := k + 1$

Output M/π_k



How to make the list of examples

- We have to fix a method of making the list $[s_1, s_2, \dots, s_n]$ of $P(C)$.
- We had better use some order $<$ and make the list so that

$$s_1 < s_2 < \dots < s_n$$

- We use the length-wise lexicographic order:

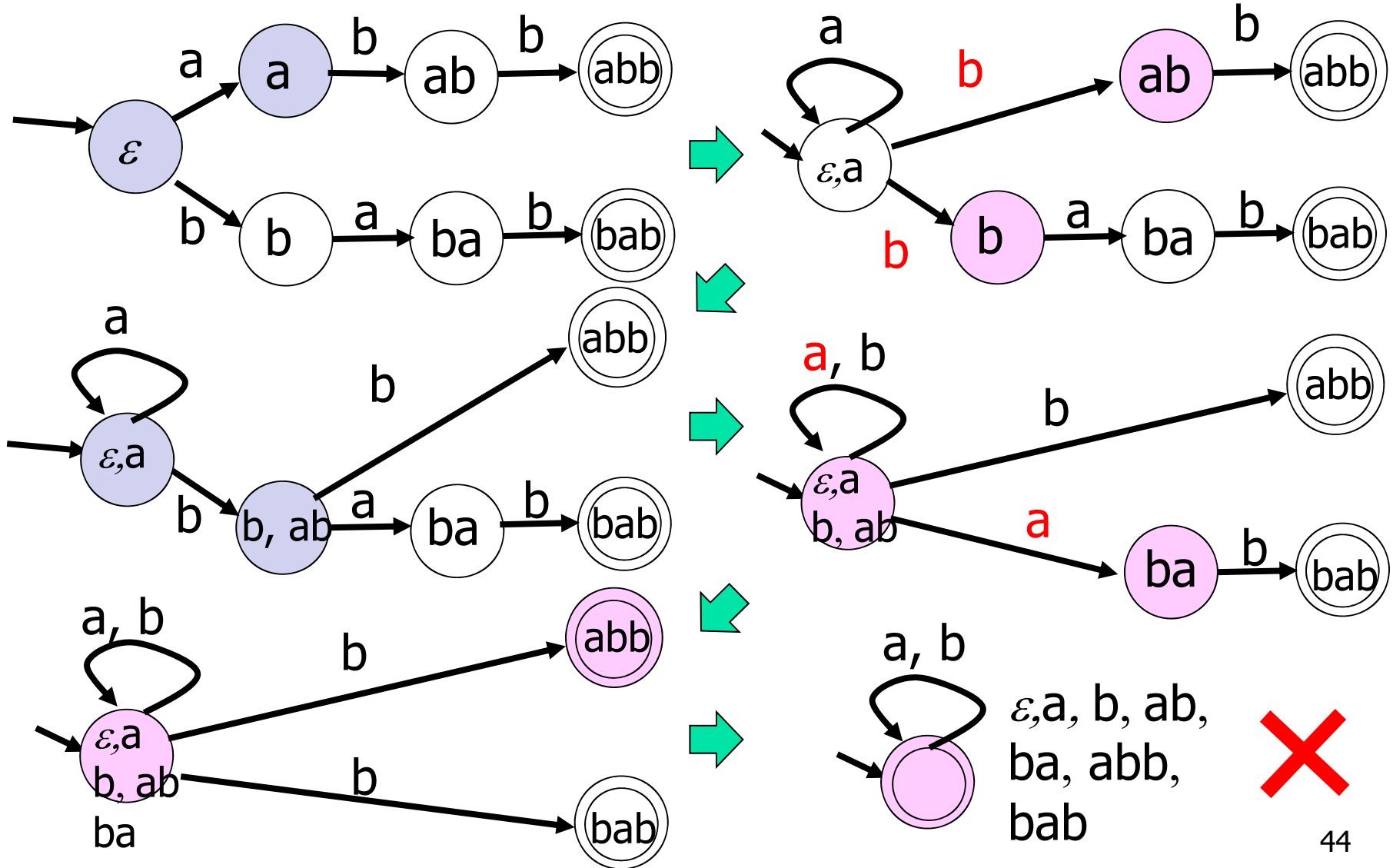
$$s < t \text{ if } |s| < |t| \text{ or}$$

$$|s| = |t| \text{ and } s \text{ is earlier than } t \text{ in the lexicographic order}$$

Example $a < b < ab < ba < abb < bab$

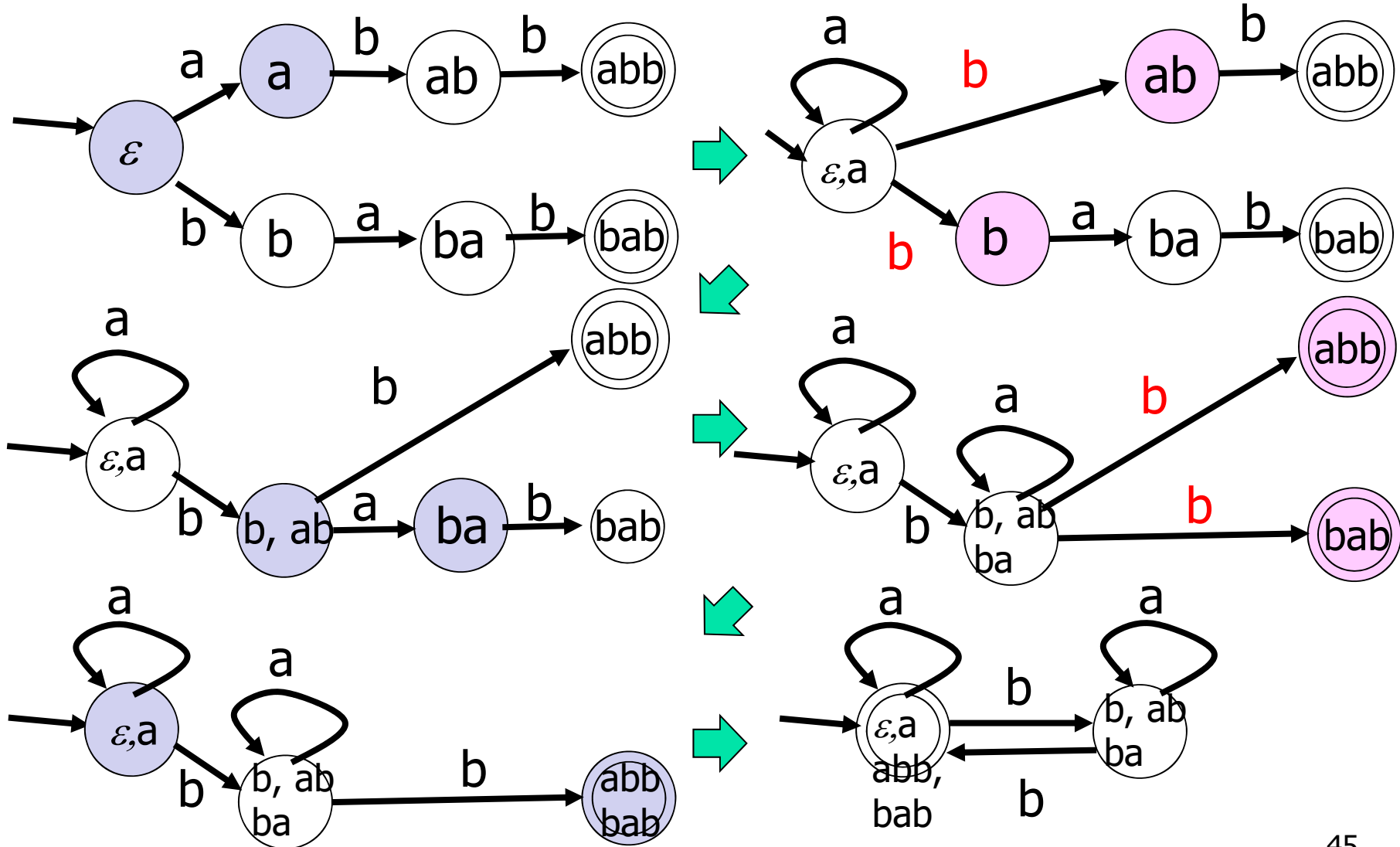
Example: Merging States

$C = \{abb, bab\}$, $D = \{ab\}$



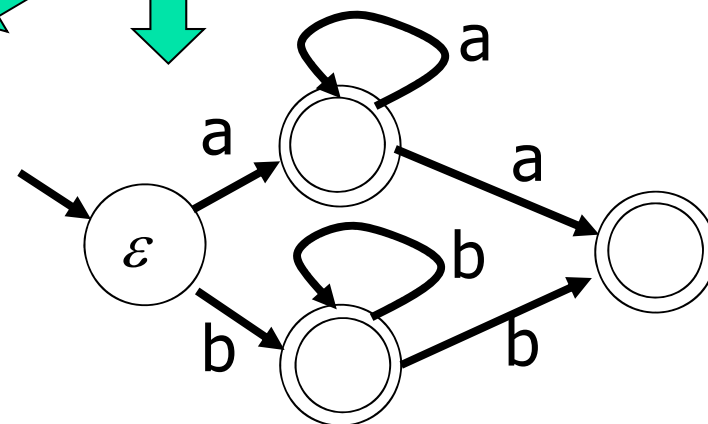
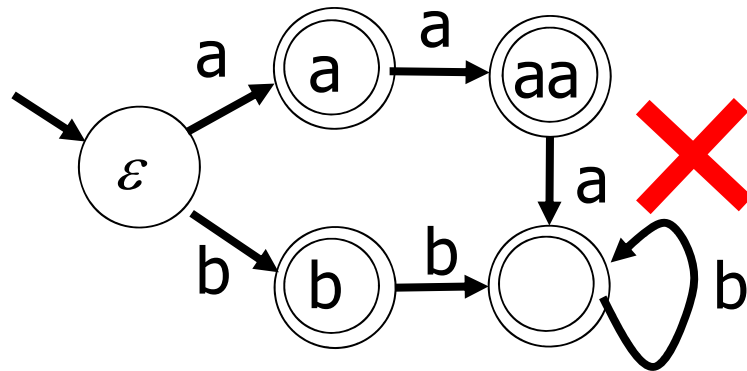
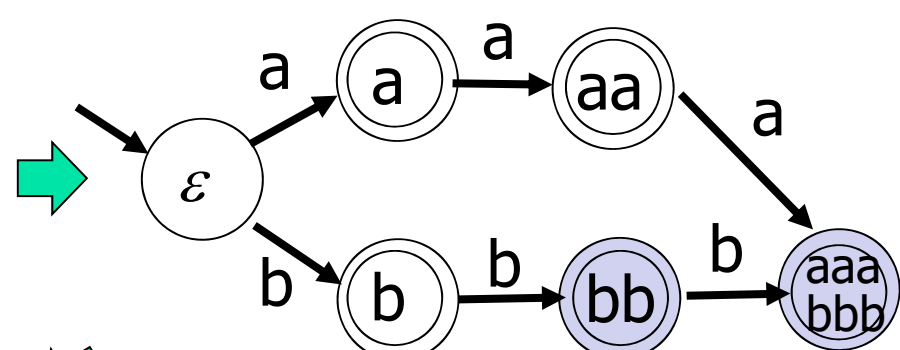
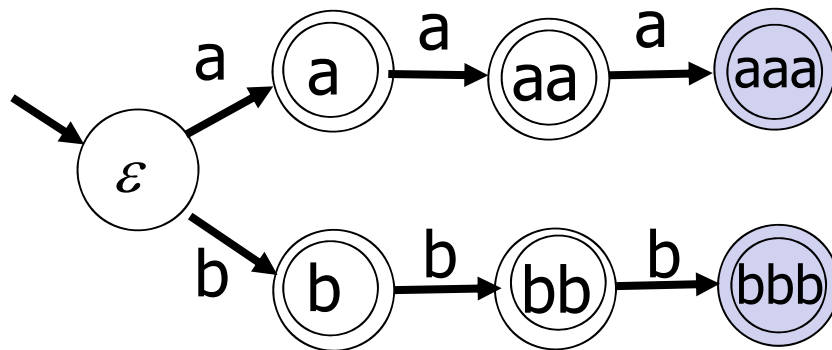
Example: Merging States(cont.)

$C = \{abb, bab\}, D = \{ab\}$



Effect of the Order (1)

- $C = \{a, b, aa, bb, aaa, bbb\}$
- $D = \{\varepsilon, ab, ba, aab, aba, abb, baa, bab, bba\}$
- $[bbb, aaa, bb, aa, b, a]$

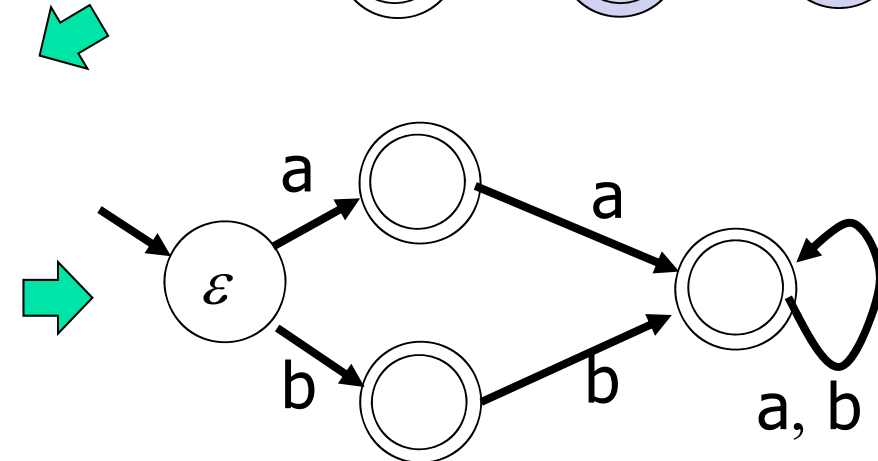
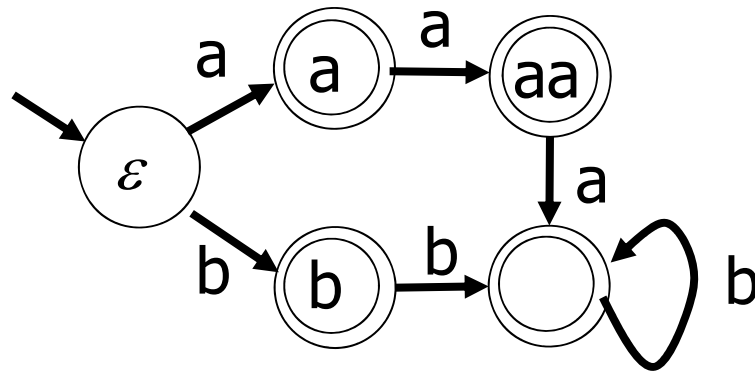
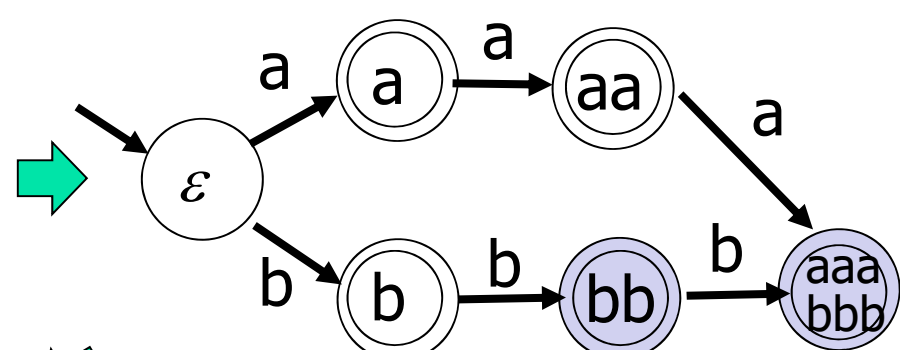
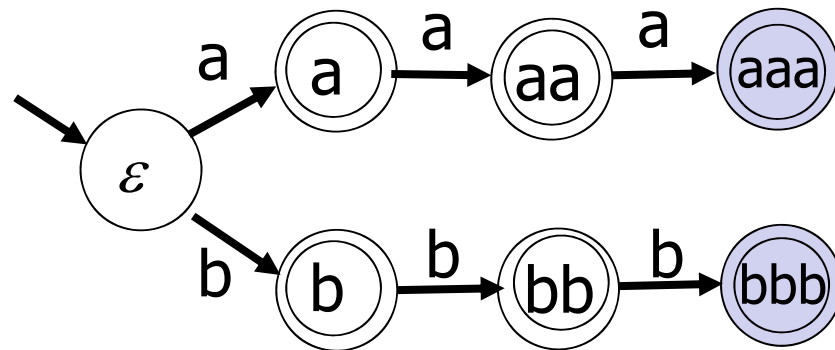


Effect of the Order (2)

■ $C = \{a, b, aa, bb, aaa, bbb\}$

$D = \{\varepsilon, ab, ba\}$

[bbb, aaa, bb, aa, b, a]

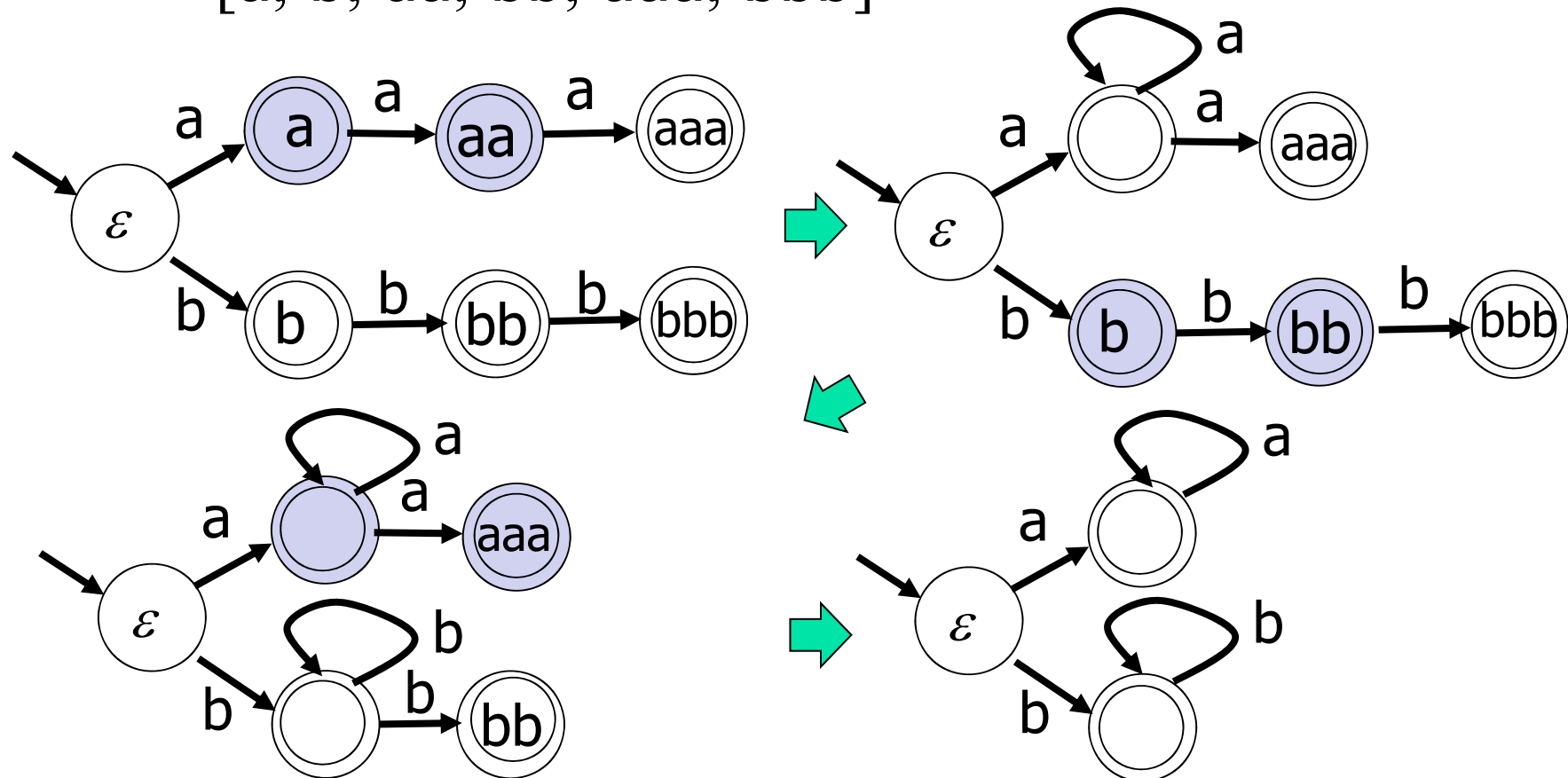


Effect of the Order (3)

■ $C = \{a, b, aa, bb, aaa, bbb\}$

$D = \{\varepsilon, ab, ba\}$

$[a, b, aa, bb, aaa, bbb]$





Effect of the Order (4)

- It is **proved** that the length-wise lexicographic order is better than its inverse.



Finding minimum FA

- Finding a minimum FA consistent with a finite amount of positive and negative examples is NP-hard.
- The automata found by RPNI is not always minimal, but outputs in polynomial time $\text{card}(C)^2 \text{card}(D)$.

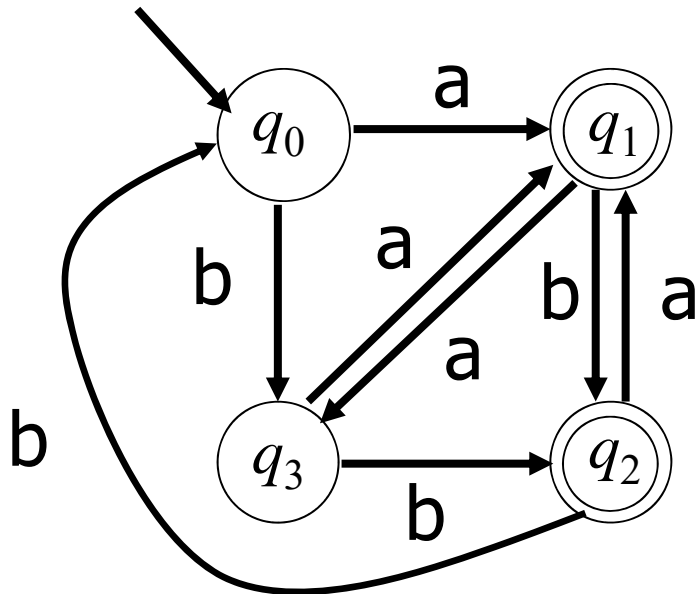


Data Sets Enough to Output Hidden Automata

Minimal Test Sets

- A set $S \subset \Sigma^*$ is a **minimal test set** for a FA M if for each state q of M , there exists exactly one string x such that $\delta(q_0, x) = q_i$.

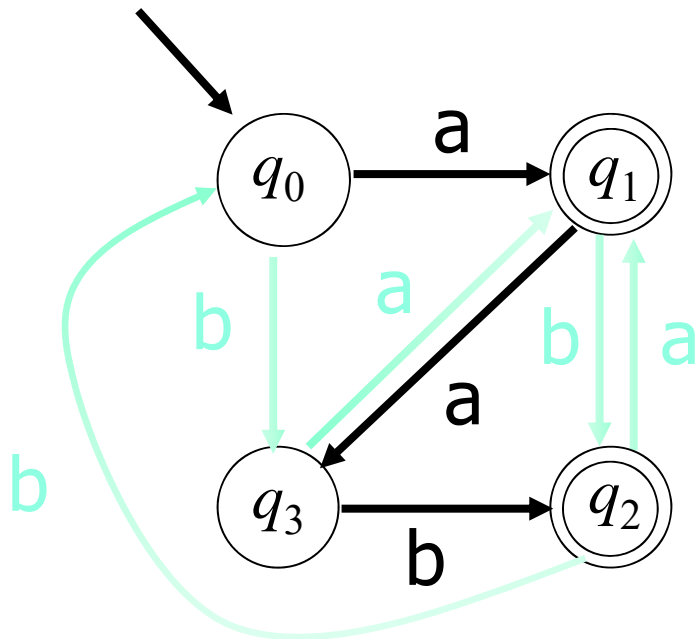
Example Examples of test sets of M are $S_1 = \{\varepsilon, a, aa, aab\}$ and $S_2 = \{\varepsilon, a, ab, b\}$.



Minimal Test Sets

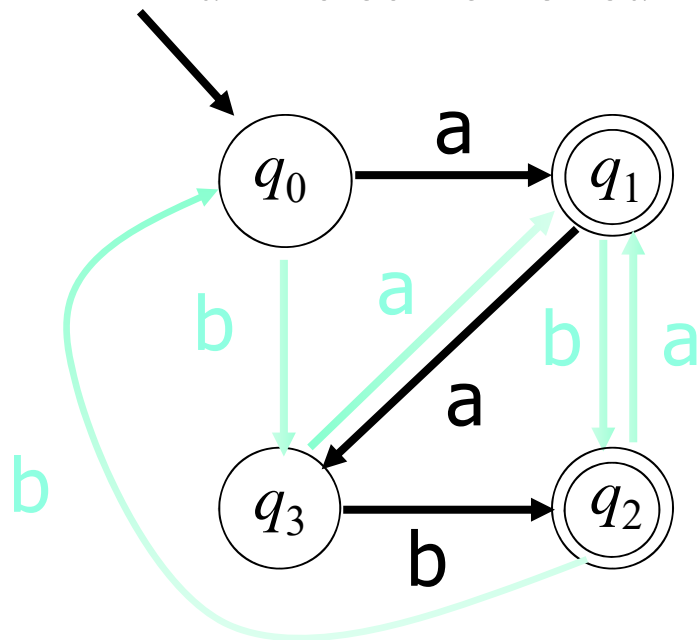
- Intuitively, a test set gives a “skelton” of the finite state automaton.
 - But the set is not sufficient to identify the FA.

Example Examples of test sets of M are $S_1 = \{\varepsilon, a, aa, aab\}$ and $S_2 = \{\varepsilon, a, ab, b\}$.



Prefix closed Test Sets

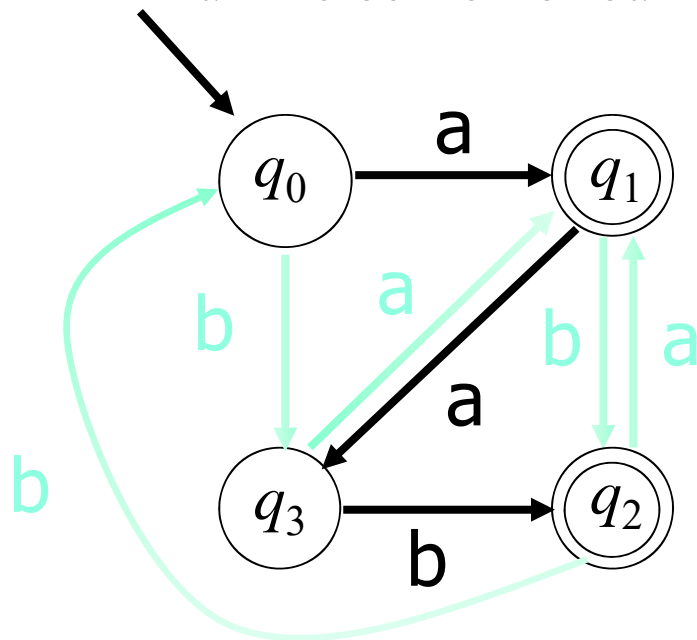
- A set of strings S is **prefix closed (suffix closed)** if and only if every prefix (resp. suffix) of every member of S is also a member of S .
- Intuitively, a prefix closed minimal test set gives a “skelton” of the finite state automaton.
 - But the set is not sufficient to identify the FA.



Example Both $S_1 = \{\varepsilon, a, aa, aab\}$ and $S_2 = \{\varepsilon, a, ab, b\}$ are prefix closed.

Prefix closed Test Sets

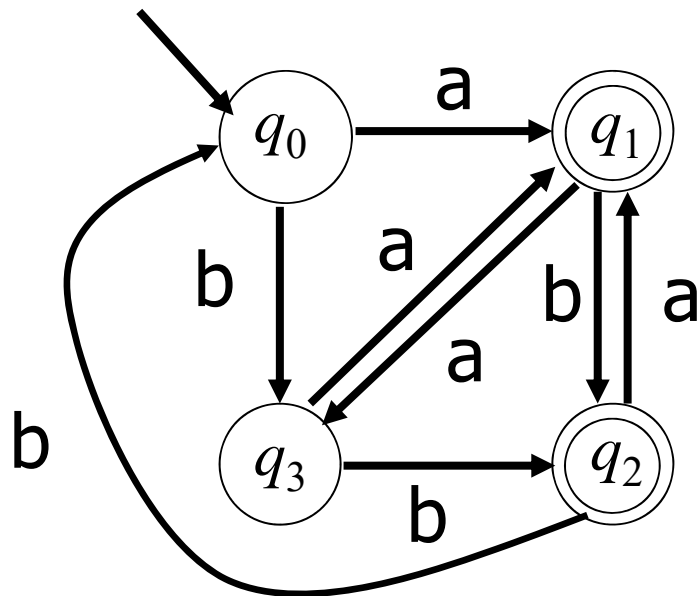
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Example Both $S_1 = \{\varepsilon, a, aa, aab\}$ and $S_2 = \{\varepsilon, a, ab, b\}$ are prefix closed.

Fixing an Order

- We fix one ordering for listing elements of a set.
 - **Example** Following the lexicographic ordering, elements of $S_1 = \{\varepsilon, a, aa, aab\}$ is listed as ε, a, aa, aab

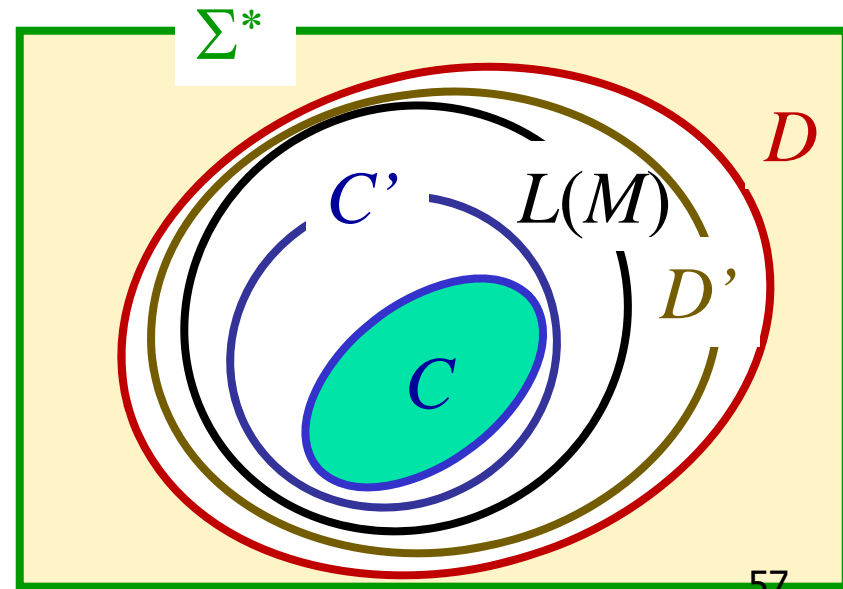


Characteristics Examples

- Assume an algorithm A which learns FA.
- Assume that we treat only minimal FA.
- A pair (C, D) of sets of examples is **characteristic** for a FA M if for **any** pair (C', D') of examples such that

$$C \subset C' \subset L(M) \text{ and } D \subset D' \subset \overline{L(M)}$$

the algorithm A returns M .



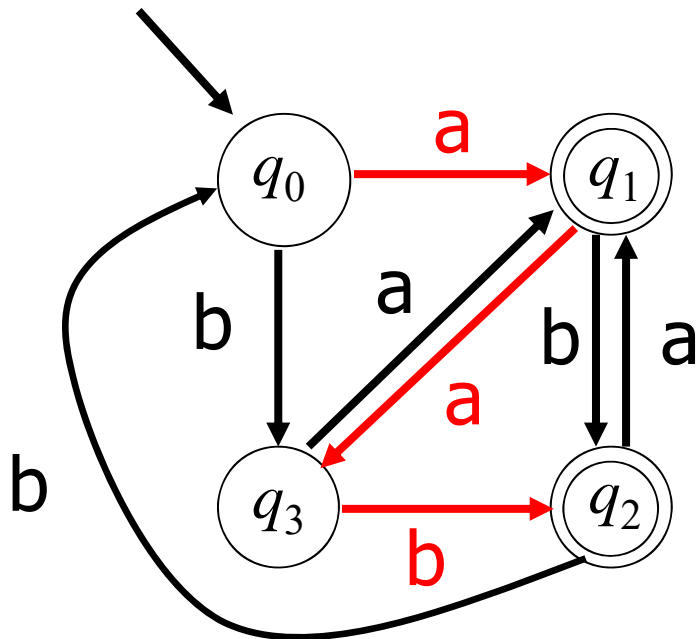
Observation table

- An observation table (S, E, T) :
 - S : a prefix closed set $S \subset \Sigma^*$
 - E : a suffix closed set $E \subset \Sigma^*$
 - $T : (S \cup S \Sigma)E \rightarrow \{0, 1\}$
 - $S \Sigma = \{ sa \mid s \in S \text{ and } a \in \Sigma \}$
 - The element of the position (s, w) shows whether or not the automaton M accepts sw .

		E	
		ε	b
S	ε	0	0
	a	1	1
	aa	0	1
	aab	1	0
$S \Sigma$	b	0	1
	ab	1	0
	aaa	1	1
	$aaba$	1	1
	$aabb$	0	0

Observation table

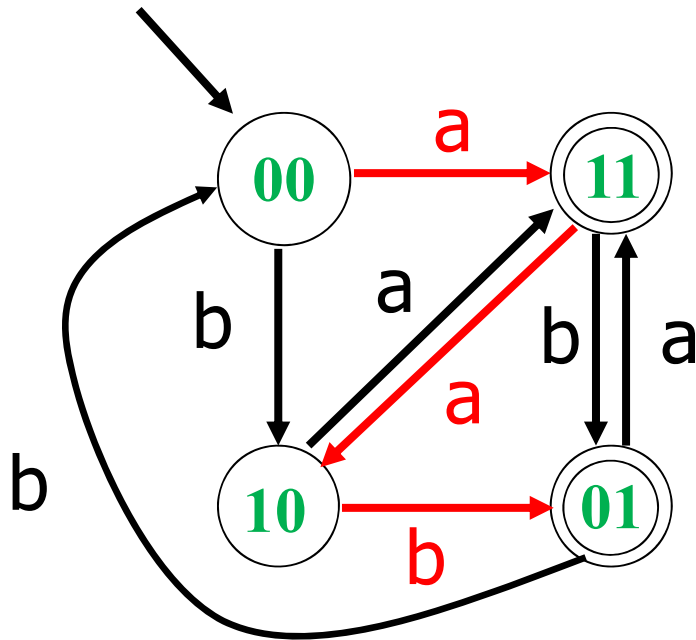
- An observation table (S, E, T) :
 - S : a prefix closed set $S \subset \Sigma^*$
 - E : a set $E \subset \Sigma^*$
 - $T : (S \cup S \Sigma)E \rightarrow \{0, 1\}$



		E	
		ϵ	b
S	ϵ	0	0
	a	1	1
	aa	0	1
	aab	1	0
$S \Sigma$	b	0	1
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S	ϵ	0	0
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	aa	0	1
	aab	1	0
$S\Sigma$	b	0	1
	ab	1	0
	aaa	1	1
	$aaba$	1	1
	$aabb$	0	0



How to construct the table

Input : a minimal FA A

Output : The characteristic set of polynomial size

$S :=$ the minimal test set of A , $E := \{ \varepsilon \}$, $S' := S\Sigma - S$,

Generate (S, E, T) ;

while there exists $w, v \in S$ s.t. $\text{row}(w) = \text{row}(v)$ but

$T(wc, e) \neq T(vc, e)$ for some $c \in \Sigma$ and $e \in E$

$E := E \cup \{ae\}$;

Generate (S, E, T) ;

end while

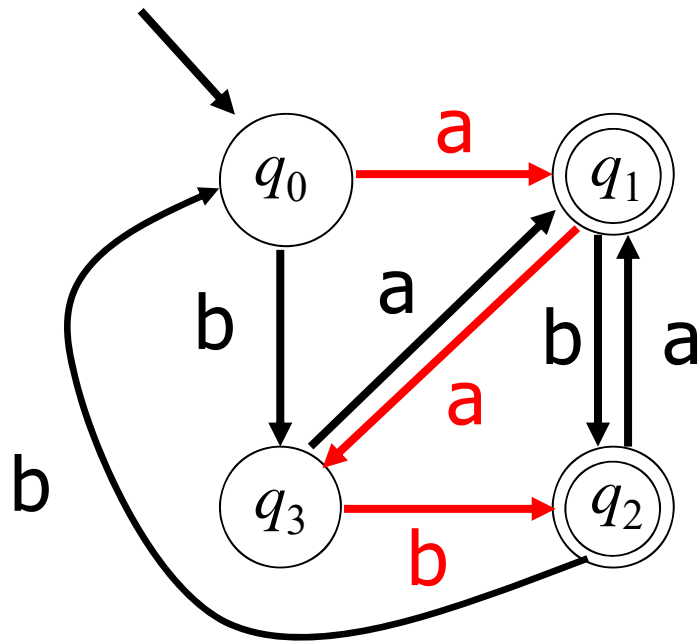
$C = \{ we \mid w \in S \cup S\Sigma, e \in E, \text{ and } T(wc, e) = 1 \}$

$D = \{ we \mid w \in S \cup S\Sigma, e \in E, \text{ and } T(wc, e) = 0 \}$

return (C, D) ;

Example

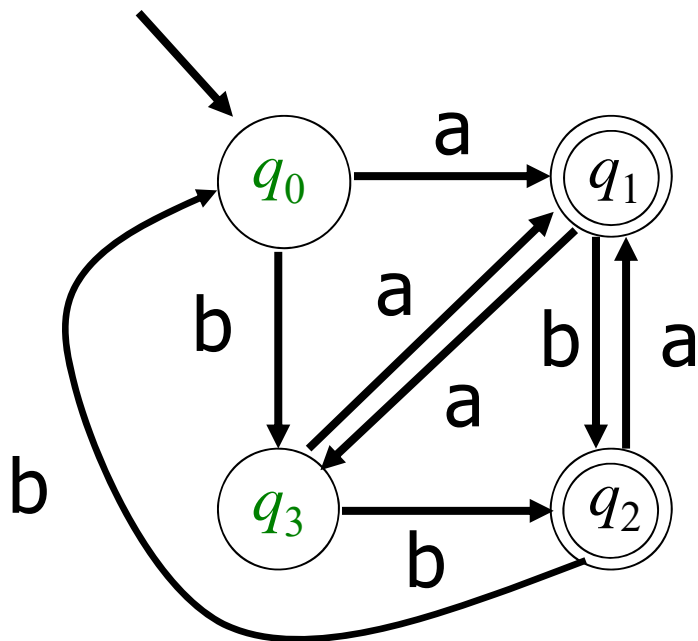
- $S = \{\varepsilon, a, aa, aab\}$
- $S\Sigma = \{a, aa, aaa, aaba, b, ab, aab, aabb\}$
- $E = \{\varepsilon\}$.



	ε
S	ε
	a
	aa
	aab
$S\Sigma$	b
	ab
	aaa
	$aaba$
	$aabb$

Example

Because $T(\varepsilon, \varepsilon) = T(\mathbf{aa}, \varepsilon)$, check whether or not $T(\mathbf{a}, \varepsilon) = T(\mathbf{aaa}, \varepsilon)$, and whether or not $T(\mathbf{b}, \varepsilon) = T(\mathbf{aab}, \varepsilon)$.

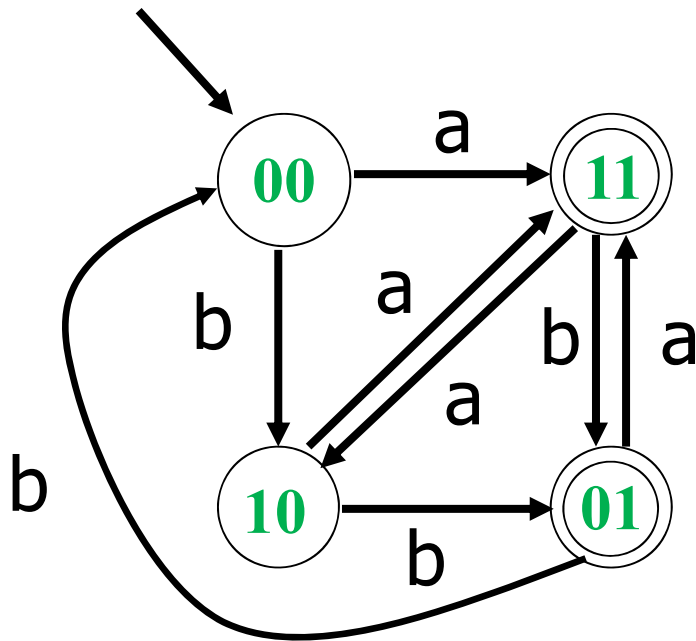


	ε
ε	0
a	1
aa	0
aab	1
b	0
ab	1
aaa	1
aaba	1
aabb	0

Labels on the left: S (bracketed over rows 2-5), $S \Sigma$ (bracketed over rows 6-9). Label on top: E (bracketed over column 2).

Example

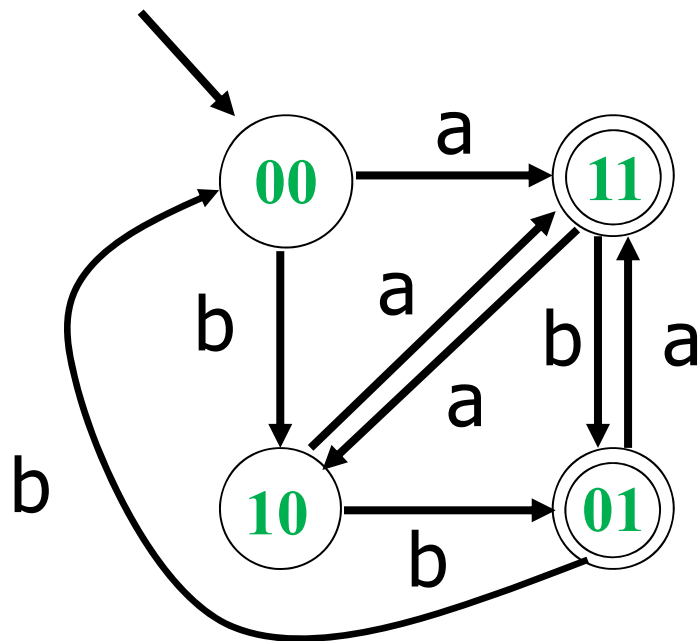
- $E := E \cup \{b\}$
- Fill all of the new elements of the extended table.



		E	
		ε	b
S	ε	0	0
	a	1	1
	aa	0	1
	aab	1	0
$S \Sigma$	b	0	1
	ab	1	0
	aaa	1	1
	aaba	1	1
	aabb	0	0

Example

- There is no w and v in the S part s.t. $\text{row}(w) = \text{row}(v)$, end the loop.
- $C = \{a, ab, bb, aaa, aab, aaab, aaba, aabab\}$
- $D = \{\varepsilon, b, aa, abb, aabb, aabbb\}$



	E	
	ε	b
ε	0	0
a	1	1
aa	0	1
aab	1	0
b	0	1
ab	1	0
aaa	1	1
$aaba$	1	1
$aabb$	0	0

S (rows 1-4)
 $S \Sigma$ (rows 5-8)



Consistent Table

- An observation table (S, E, T) is **consistent** if and only if for every pair $w, v \in S$ such that $\text{row}(w) = \text{row}(v)$, $\text{row}(wc) = \text{row}(vc)$ for any $c \in \Sigma$.
 - Intuitively, in a consistent table, every row in the S part can be regarded as one state of an automaton.

Proposition A consistent table T represents an automaton M such that, for $w \in S \cup S\Sigma$ and $e \in E$, M accepts we if and only if $T(w, e) = 1$.



Characteristic Examples

Theorem Suppose T be the table obtained above method from M . Then the pair (C, D) where

$$C = \{we \mid w \in S \cup S \Sigma \text{ and } e \in E \text{ and } T(w, e) = 1\}$$

$$D = \{we \mid w \in S \cup S \Sigma \text{ and } e \in E \text{ and } T(w, e) = 0\}$$

is characteristic w.r.t. the generate-and-test algorithm and M .



The Myhill-Nerode Theorem

Theorem The following three statements are equivalent:

(1) The language L is accepted by some finite automaton.

(2) L is the union of some equivalence classes of a **right invariant** equivalence relation of finite index.

(3) Let equivalence relation R_L be defined by: $x R_L y$ if and only if for all $z \in \Sigma^*$ xz is in L iff yz is in L . Then R_L is finite index.

- An equivalence relation R is **right invariant** iff $x R y$ implies $xz R yz$ for all $z \in \Sigma^*$.
- The index of equivalence relation R is the number of equivalence classes.